

# CS46 practice problems 11

These practice problems are an opportunity for discussion and trying many different solutions. It is **not counted towards your grade**, and **you do not have to submit your solutions**. The purpose of these problems is to get more comfortable with reasoning and writing about P and NP.

If you are stumped or looking for guidance, **ask**.

## 1. Closure properties.

- (a) Prove that P is closed under complement. (We discussed this in lecture last week!)
- (b) Prove that P is closed under concatenation.
- (c) Prove that P is closed under union.
- (d) Show that P is closed under Kleene star.

(Hint: you may want to consider a technique similar to how we built a table in lab 6 for determining if a grammar in Chomsky Normal Form could generate a string  $w$ . You probably showed decidable or recognizable languages were closed under Kleene star using non-determinism. Languages in P can only use deterministic Turing machines that run in polynomial time.)

- (e) Prove that NP is closed under union.
- (f) Prove that NP is closed under concatenation.

## 2. Boolean formulas, NP, and an application of NFAs.

Some useful vocabulary:

- a **literal** is a Boolean variable or a negated Boolean variable, like  $x$  or  $\bar{x}$
- The symbol “ $\vee$ ” means “or”. (This is a **disjunction**.)
- The symbol “ $\wedge$ ” means “and”. (This is a **conjunction**.)
- a **clause** is a disjunction of literals, like  $x \vee y \vee \bar{z}$
- A formula is **satisfiable** if there is a truth assignment (giving a truth value to each variable) which makes the entire formula evaluate to TRUE.

- (a) Show that SATISFIABILITY  $\in$  NP, where

$$\text{SATISFIABILITY} = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \}$$

A Boolean formula is an expression involving Boolean variables and operations, for example  $(\bar{x} \wedge y) \vee (x \wedge \bar{z})$ .

- (b) A Boolean formula is in **conjunctive normal form** (CNF) if it is written as the conjunction of clauses, for example:

$$(x_1 \vee x_2) \wedge (\bar{x}_2 \vee \bar{x}_3 \vee x_4) \wedge (x_5 \vee \bar{x}_1 \vee x_6) \wedge (x_3)$$

Define the language:

$$L = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable CNF formula where each variable appears at most twice} \}$$

Show that  $L \in P$ .

- (c) For a CNF formula  $\phi$  with  $m$  variables and  $c$  clauses, show you can construct in polynomial time an NFA with  $O(cm)$  states that accepts all *nonsatisfying* assignments, represented as binary strings of length  $m$ .

(This implies that if  $P \neq NP$ , then NFAs cannot be minimized in polynomial time.)

3. Show that if  $P = NP$ , a polynomial-time algorithm exists that produces a satisfying assignment when given a satisfiable Boolean formula.

Note: The algorithm you are being asked to write computes a function, but NP contains languages, not functions. The  $P = NP$  assumption means that SATISFIABILITY  $\in P$ , so there is a deterministic polynomial-time Turing machine  $M_{\text{SAT}}$  which can test if a formula is satisfiable. You don't know how this test is done, but you may use  $M_{\text{SAT}}$  in your algorithm.