

# CS41 Homework 9

This homework is due at 11:59PM on Sunday, November 19. Write your solution using  $\text{\LaTeX}$ . Submit this homework using **github** as **.tex** file; the code should be in a file called **pretty-print.py**. This is a **partnered homework**. You should primarily be discussing problems with your homework partner.

It's ok to discuss approaches at a high level with others. However, you should not reveal specific details of a solution, nor should you show your written solution to anyone else. The only exception to this rule is work you've done with a lab teammate *while in lab*. In this case, note (in your **homework submission poll**) who you've worked with and what parts were solved during lab.

## 1. Optimization vs Decision Problems.

Recall that a decision problem requires a YES/NO answer, and an optimization problem requires the “best possible answer”, which often means maximizing or minimizing over some *cost* or *score*.

For most optimization problems, there is an obvious analogue as a decision problem. For example, consider the following problem:

VC-OPT: Given a graph  $G = (V, E)$ ,  
return the size of the smallest vertex cover in  $G$ .

The problem VC-OPT has a natural decision problem, namely VERTEX-COVER.

VERTEX-COVER: Given a graph  $G = (V, E)$  and an integer  $k$ ,  
is there a vertex cover of  $G$  of size at most  $k$ ?

In fact, every optimization problem can be converted to a decision problem in this way.

- (a) Show that  $\text{VERTEX-COVER} \leq_P \text{VC-OPT}$ .
- (b) Let  $B$  be an arbitrary optimization problem, and let  $A$  be the decision version of  $B$ . Show that

$$A \leq_P B .$$

In order to show that  $A \leq_P B$ , you will need to:

- Describe an algorithm for  $A$  that uses a black box for  $B$  as a subroutine.
- Argue that your algorithm only does polynomially much work, only calls the box for  $B$  polynomially many times.
- Argue that your algorithm is a correct algorithm that solves problem  $A$ .

- (c) Show that  $\text{VC-OPT} \leq_P \text{VERTEX-COVER}$ .

2. In this problem, you will prove that THREE-COLORING is NP-COMPLETE. You have already worked on several pieces of this problem in lab, so you should definitely use that work and *not* start from scratch.

THREE-COLORING: Given  $G = (V, E)$ , return YES iff the vertices in  $G$  can be colored, using at most three colors, such that each edge  $(u, v) \in E$  is *bichromatic*.

- (a) Prove that  $\text{THREE-COLORING} \in \text{NP}$ .
- (b) Given an input  $x$  for 3-SAT, create an input for  $\text{THREE-COLORING}$  using the gadgets below (Figures 1 through 5). For each clause in  $x$ , you should create a piece of the graph  $G$  which will be an input for  $\text{THREE-COLORING}$ .

Describe how to do this, and what the final graph  $G$  consists of. How is the satisfiability of the clause related to the colorability of the piece of the graph?

Recall from lab that our gadgets are three-colorable graphs which include at least three vertices marked  $a, b, c$ . Except for the specified property, the remaining vertices are *unconstrained*. For example, unless the problem states that, e.g.,  $a$  cannot be red, it must be possible to color the graph in such a way that  $a$  is red. Colors for other vertices may be fixed, just not  $a, b, c$ .

Figure 1: A graph such that  $a, b, c$  all have different colors.

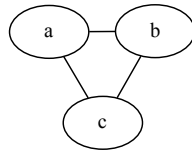


Figure 2: A graph such that  $a, b, c$  all have the same color.

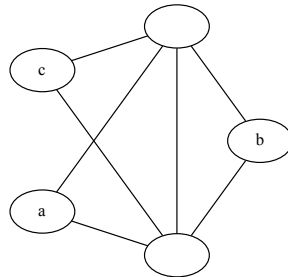


Figure 3: A graph such that  $a, b, c$  do *NOT* all have the same color.

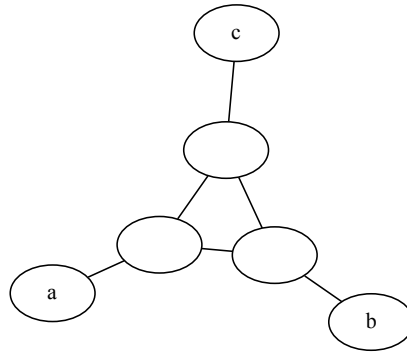


Figure 4: A graph such that none of  $a, b, c$  can be green.

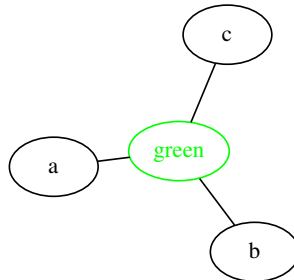
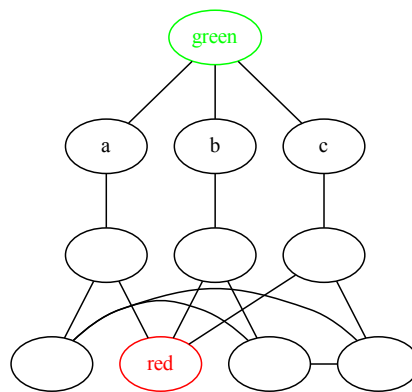


Figure 5: A graph such that none of  $a, b, c$  are green, and they cannot *all* be blue.



- (c) Run the THREE-COLORING algorithm on the input  $G$  you create, and output YES iff the THREE-COLORING algorithm outputs YES. Argue why this procedure gives you a

correct answer for 3-SAT. (Hint: Associate the color red with TRUE and the color blue with FALSE.)

3. **Other coloring problems.** It is natural to wonder whether there is something special about the 3 in THREE-COLORING that makes it such a hard problem.

(a) In the TWO-COLORING problem, the input is a graph  $G = (V, E)$ , and you should output YES iff the vertices in  $G$  can be colored using at most two colors such that each edge  $\{u, v\} \in E$  is *bichromatic*. Prove that TWO-COLORING  $\in$  P.

(Hint: look at your notes from earlier in the semester.)

(b) In the FOUR-COLORING problem, the input is a graph  $G = (V, E)$ , and you should output YES iff the vertices in  $G$  can be colored using at most four colors such that each edge  $\{u, v\} \in E$  is *bichromatic*. Prove that FOUR-COLORING  $\in$  NP-COMPLETE.

(Hint: for your reduction, you can pick any NP-COMPLETE problem, but some will make your life easier. Try to do a reduction from a *very* similar problem.)