

# CS41 Homework 11

This homework is due at 11:59PM on Sunday, December 10. Write your solution using L<sup>A</sup>T<sub>E</sub>X. Submit this homework using **github** as **.tex** file. This is a **partnered homework**. You should primarily be discussing problems with your homework partner.

It's ok to discuss approaches at a high level with others. However, you should not reveal specific details of a solution, nor should you show your written solution to anyone else. The only exception to this rule is work you've done with a lab teammate *while in lab*. In this case, note (in your **homework submission poll**) who you've worked with and what parts were solved during lab.

## 1. Maximum feasible sum (K&T 11.3)

Suppose you are given a set of positive integers  $A = \{a_1, a_2, \dots, a_n\}$  and a positive integer  $B$ . A subset  $S \subseteq A$  is called *feasible* if the sum of the numbers in  $S$  does not exceed  $B$ :

$$\sum_{a_i \in S} a_i \leq B.$$

The sum of the numbers in  $S$  will be called the *total sum* of  $S$ .

You would like to select a feasible subset  $S$  of  $A$  whose total sum is as large as possible.

For example, if  $A = \{8, 2, 4\}$  and  $B = 11$  then the optimal solution is the subset  $S = \{8, 2\}$ .

(a) Here is an algorithm for this problem.

```
NOTQUITERIGHT( $A = \{a_1, \dots, a_n\}, B$ )
1  initialize  $S = \emptyset$ 
2  define  $T = 0$ 
3  for  $i = 1$  to  $n$ :
4      if  $T + a_i \leq B$ 
5           $S \leftarrow S \cup \{a_i\}$ 
6           $T \leftarrow T + a_i$ 
7  return  $S$ 
```

Give an input for which the total sum of the set  $S$  returned by this algorithm is less than half the total sum of some other feasible subset of  $A$ . (You don't necessarily have to find the optimal subset, just *some* feasible subset.)

(b) Give a worst-case-polynomial-time approximation algorithm for this problem with the following guarantee: It returns a feasible set  $S \subseteq A$  whose total sum is at least half as large as the maximum total sum of any feasible set  $S' \subseteq A$ . Your algorithm should run asymptotically faster than  $O(n^2)$ .

## 2. Three-Coloring, approximated.

Recall the THREE-COLORING problem: Given a graph  $G = (V, E)$ , output YES iff the vertices in  $G$  can be colored using only three colors such that the endpoints of any edge have different colors. The optimization version THREE-COLORING-OPT is the problem: Given a graph  $G = (V, E)$  as input, color the vertices in  $G$  using at most three colors in a way that maximizes

the number of *satisfied* edges, where an edge  $e = (u, v)$  is satisfied if  $u$  and  $v$  have different colors.

Describe and analyze *randomized* algorithms for THREE-COLORING-OPT with the following behavior:

- (a) An algorithm that runs in worst-case (i.e., not expected) polynomial time and produces a three-coloring such that the expected number of satisfied edges is at least  $2c^*/3$ .
- (b) An algorithm with expected polynomial runtime that always outputs a three-coloring that satisfies at least  $2c^*/3$  edges.
- (c) An algorithm that runs in worst-case polynomial time, and with probability at least 99% outputs a three-coloring which satisfies at least  $2c^*/3$  edges. What is the running time of your algorithm?

The following inequality might be helpful:  $1 - x \leq e^{-x}$  for any  $x > 0$ .

(Hints: start with a very basic idea. Don't overthink the algorithm design! The challenge is the analysis.)

### 3. Chromatic Number.

Consider the optimization problem CHROMATIC-NUMBER: Given a graph  $G = (V, E)$  as input, determine the smallest number  $k$  such that it is possible to  $k$ -color the graph.

- (a) Prove that CHROMATIC-NUMBER is NP-hard.
- (b) Prove that there is no efficient  $\frac{5}{4}$ -approximation to CHROMATIC-NUMBER unless  $P = NP$ .
- (c) Prove that for any  $\varepsilon$  where  $0 < \varepsilon < 1/3$ , there is no efficient  $(1 + \varepsilon)$ -approximation to CHROMATIC-NUMBER unless  $P = NP$ . (Hint: recall that  $\forall k > 2$ ,  $k$ -coloring is NP-COMplete.)

### 4. (extra challenge) Even *more* coloring! ... with not *too* many colors.

Suppose we're somehow told that a graph is three-colorable. Could that help us color the graph? In this problem, you'll shoot for a different kind of approximation.

Give a polynomial-time deterministic algorithm that, given any *three-colorable* graph  $G = (V, E)$ , colors the graph using  $O(\sqrt{n})$  colors. Note that the endpoints of each edge *must* be different colors, and you're given that it is *possible* to color the graph using just three colors, but you don't know what the coloring is.

Here are a few hints to help you along:

- (a) First, give a simple greedy algorithm that, given a graph  $G = (V, E)$  such that each vertex has at most  $d$  neighbors, colors  $G$  using only  $d + 1$  colors.
- (b) Second, recall the algorithm for deciding if a graph is *bipartite*.
- (c) Third, start coloring the three-colorable graph taking the vertex with the most neighbors, and coloring those neighbors using just two colors.

### 5. (extra challenge) Does $P = NP$ ? Answer YES or NO.

Justify your response with a formal proof.