CS 43: Computer Networks

02: Protocols & Layering

September 5, 2024

Slides adapted from Kurose & Ross, Kevin Webb

Reading Quiz

An example of an application layer protocol is..

A. HTTP: Hyper Text Transfer Protocol
B. Abstraction Protocol
C. Layering Protocol
D. All of the above

What is a protocol?

Goal: get message from sender to receiver

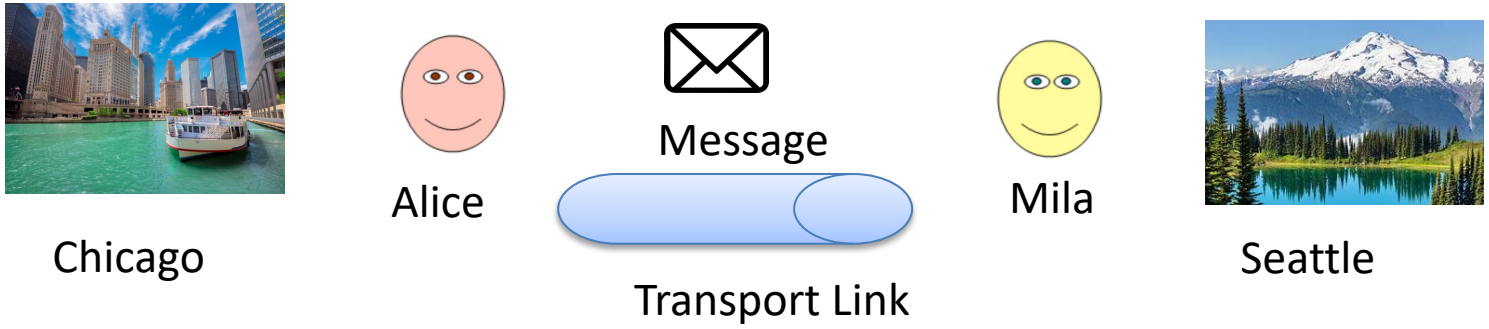
Protocol: message format + transfer procedure

- Expectations of operation
  - first you do x, then I do y, then you do z, ...

- Multiparty! so no central control
  - sender and receiver are separate processes

A "Simple" analogous task: Postal Mail

Alice moves to Chicago and Mila to Seattle for summer internships. Alice would like to send Mila a birthday card. Think of this as filling two different pieces of information (1. the birthday card, 2. the mailing envelope).



A “Simple” analogous task: Postal Mail

Alice would like to send Mila a birthday card.

1. **Construct the message and header. Have the header and message portions changed from the previous scenario?**
2. **List the message format and transfer procedure of the “mail sending protocol” that Alice uses.**
   - Who chooses the drop-off point?
   - Is this the only protocol in use?
3. **Message transportation and delivery**
   - Whose job is it to:
     - choose the carrier?
     - plan the route?
     - deliver the message?
     - ensure the message is not lost?

A "Simple" analogous task: Postal Mail

- **Message transportation and delivery**
- Who's job is it to:

1. provide the sender and receiver addresses?
2. choose the carrier?

(1, 2): Alice decides as the "end host"

3. plan the route?
4. transport vehicles?

(3, 4): Postal Department decides as the service that provides message transfer

5. ensure the message is not lost? (reliability)

Reliability? Open question – stay tuned!

Layering: Separation of Functions

Letter: written/sent by Alice, received/read by Mila
Postal System: Mail delivery of letter in envelope

- Alice and Mila
  - Don’t have to know about delivery
  - However, aid postal system by providing addresses
- Postal System
  - Only has to know addresses and how to deliver
  - Doesn’t care about “data”: Alice, Mila, letter

Abstraction!

- Hides the complex details of a process
- Use abstract representation of relevant properties make reasoning simpler
- Ex: Alice and Mila knowledge of postal system:
  - Letters with addresses go in, come out other side

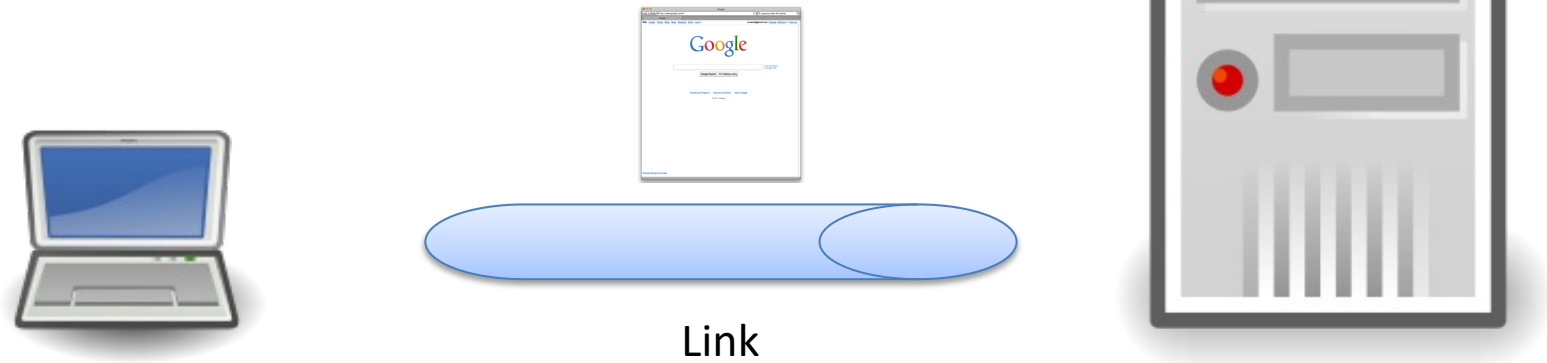
A "Simple" analogous task: Postal Mail

- Many more considerations..
  - Who decides the the sender and receiver addresses? Does someone maintain a mapping peoples' names to addresses?
  - Can Mila always be guaranteed of this delivery date? What factors influence delivery ?
  - What if the mail gets lost – who's responsibility is it? Alice, Mila or someone else?
  - What about security? privacy?

A "Simple" Task

Send information from one computer to another

- hosts: endpoints of a network
- The plumbing is called a link.

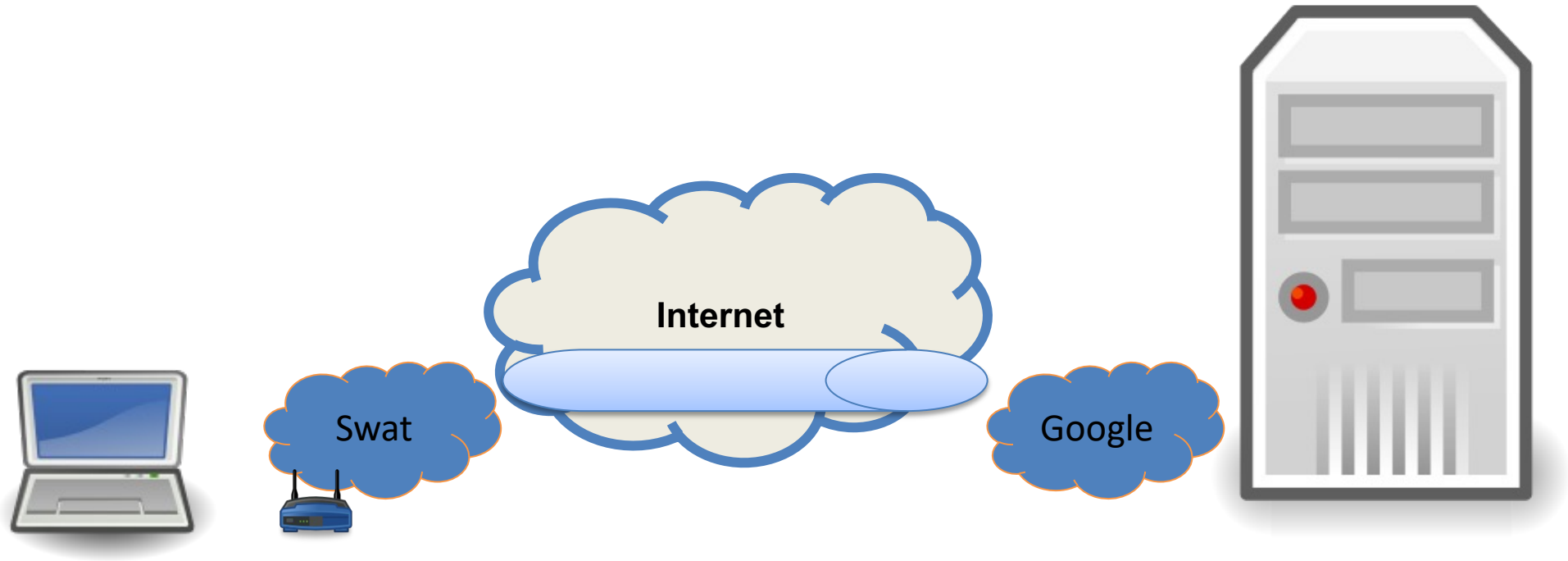


Host (PC)

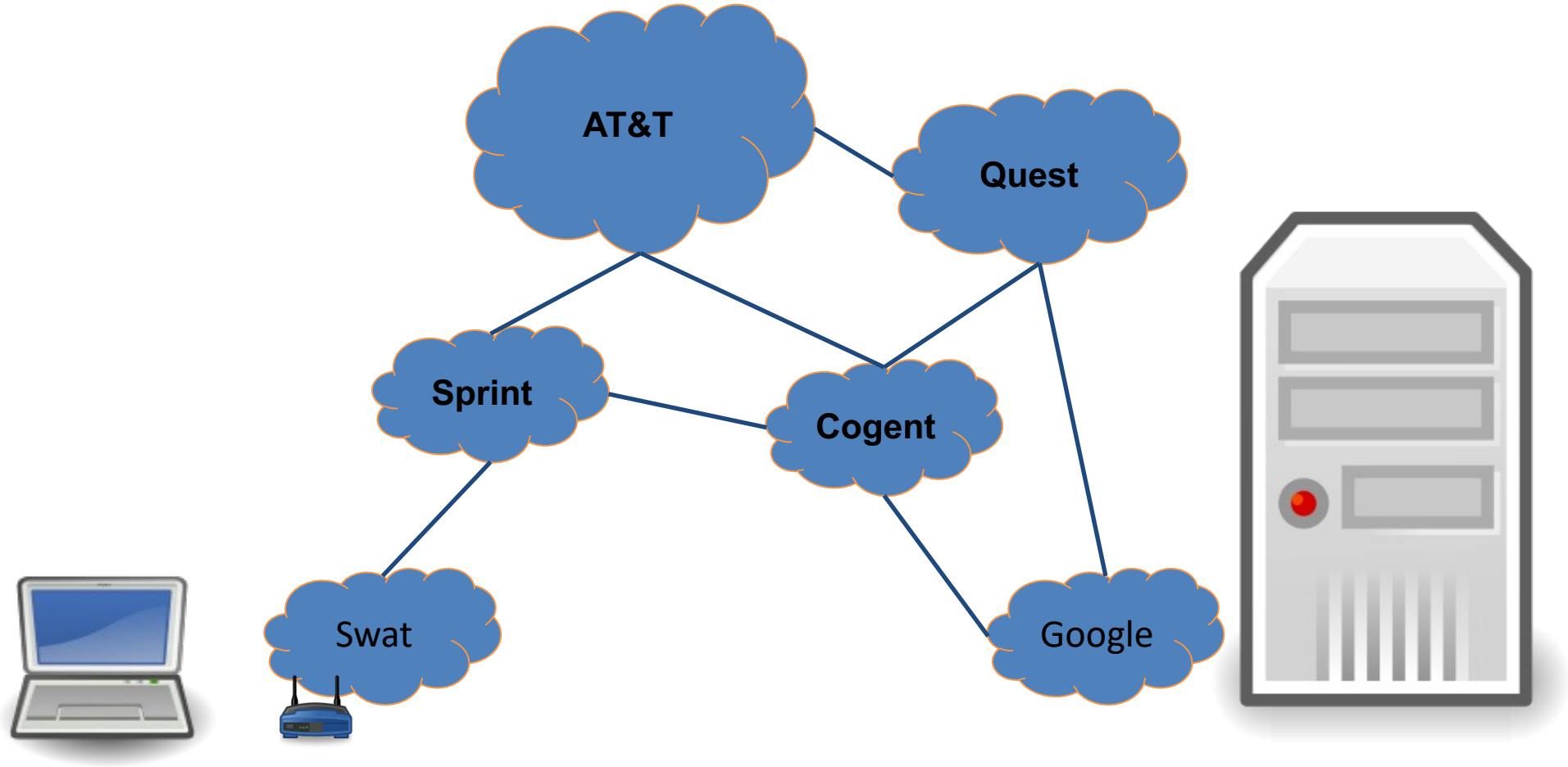
Link

Host (Server)

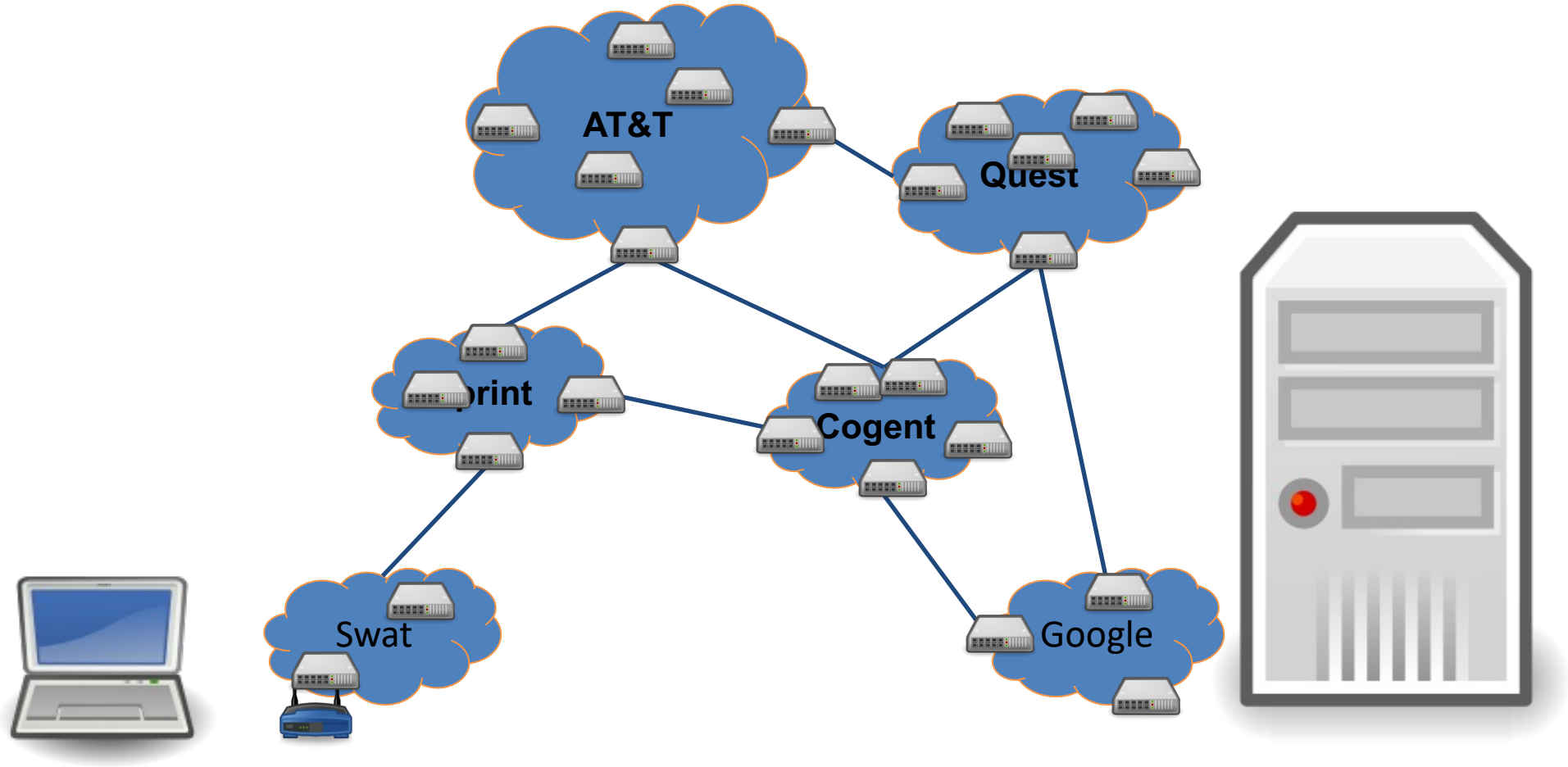
Not Really So Simple…



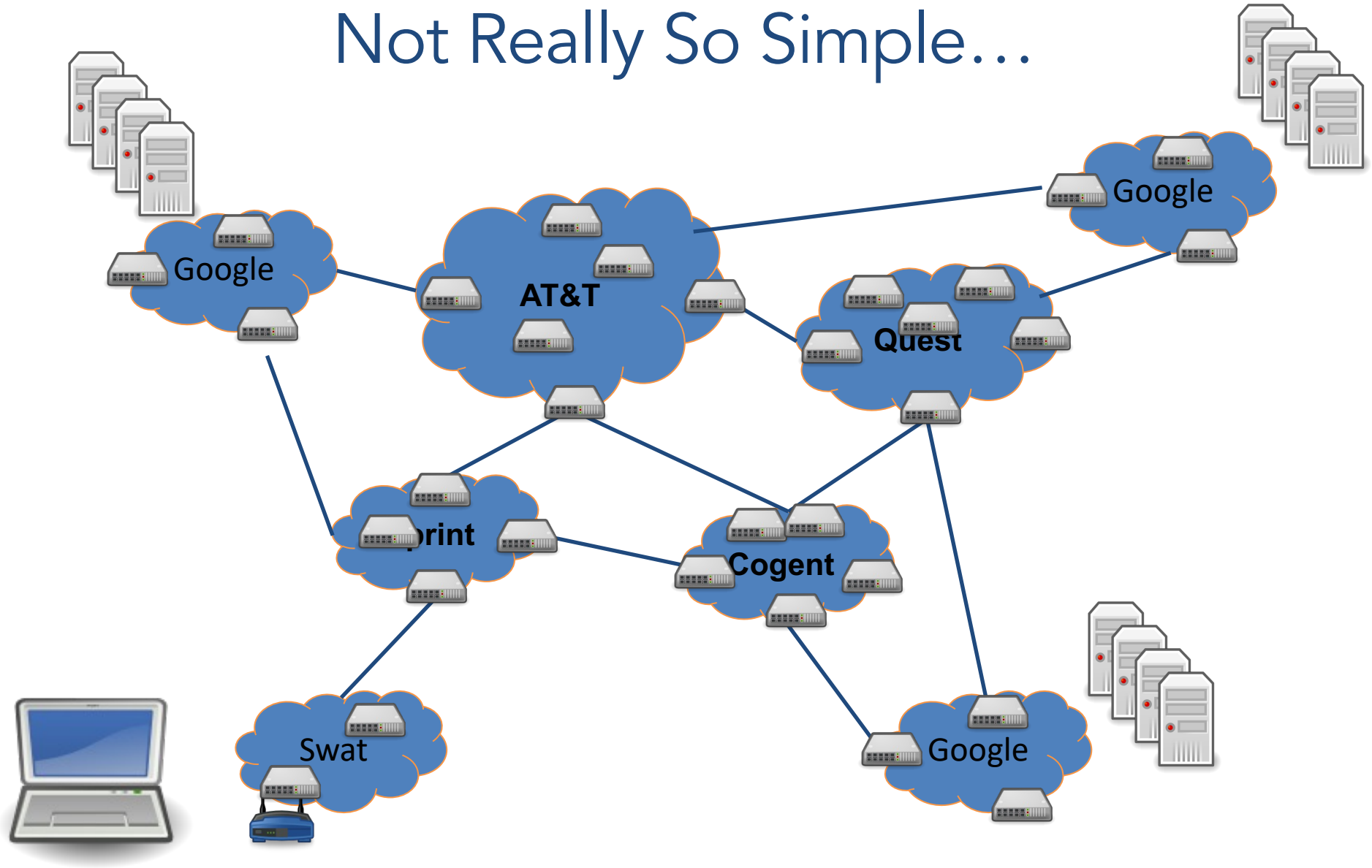
Not Really So Simple…



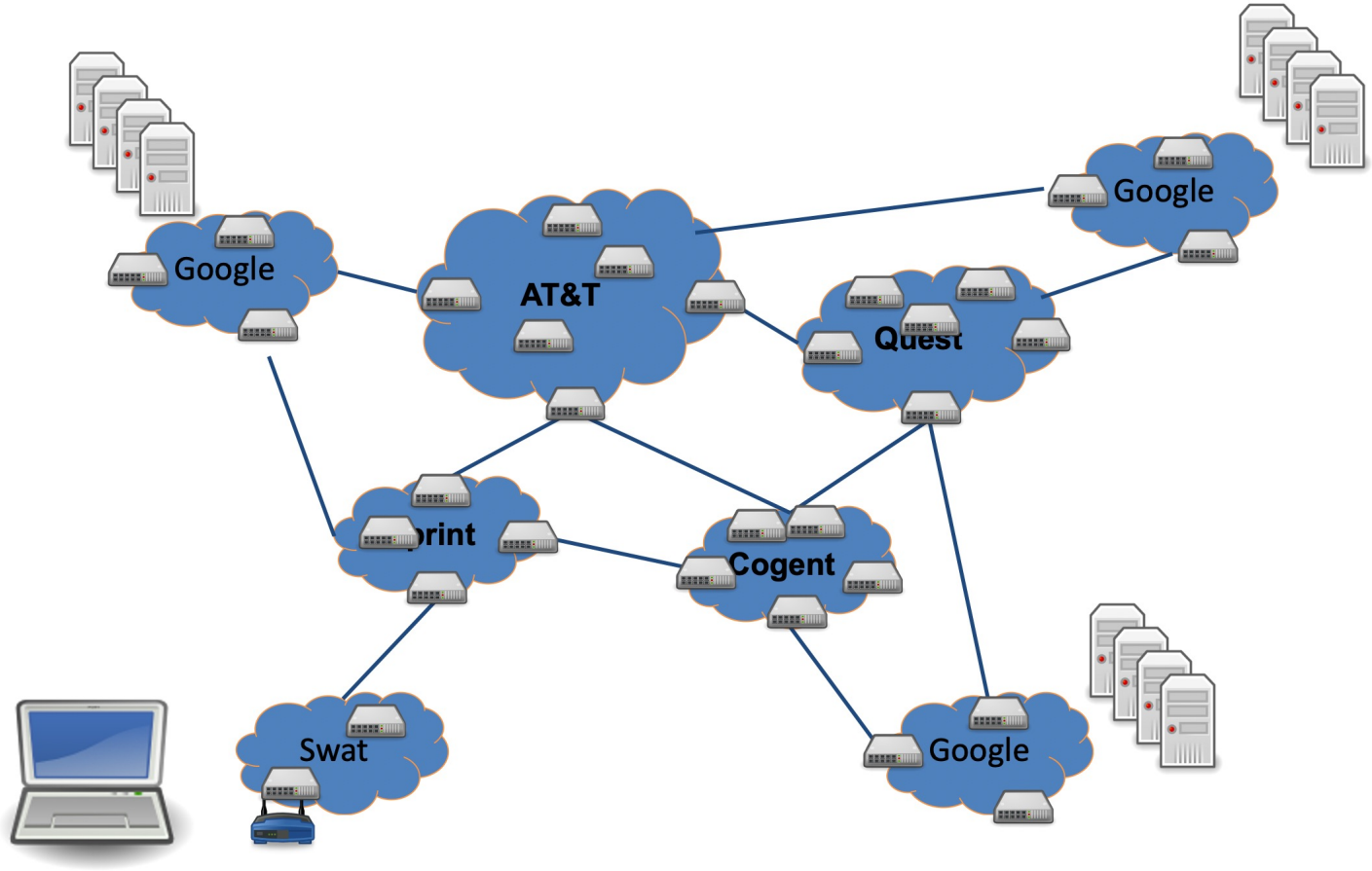
Not Really So Simple…



Not Really So Simple…

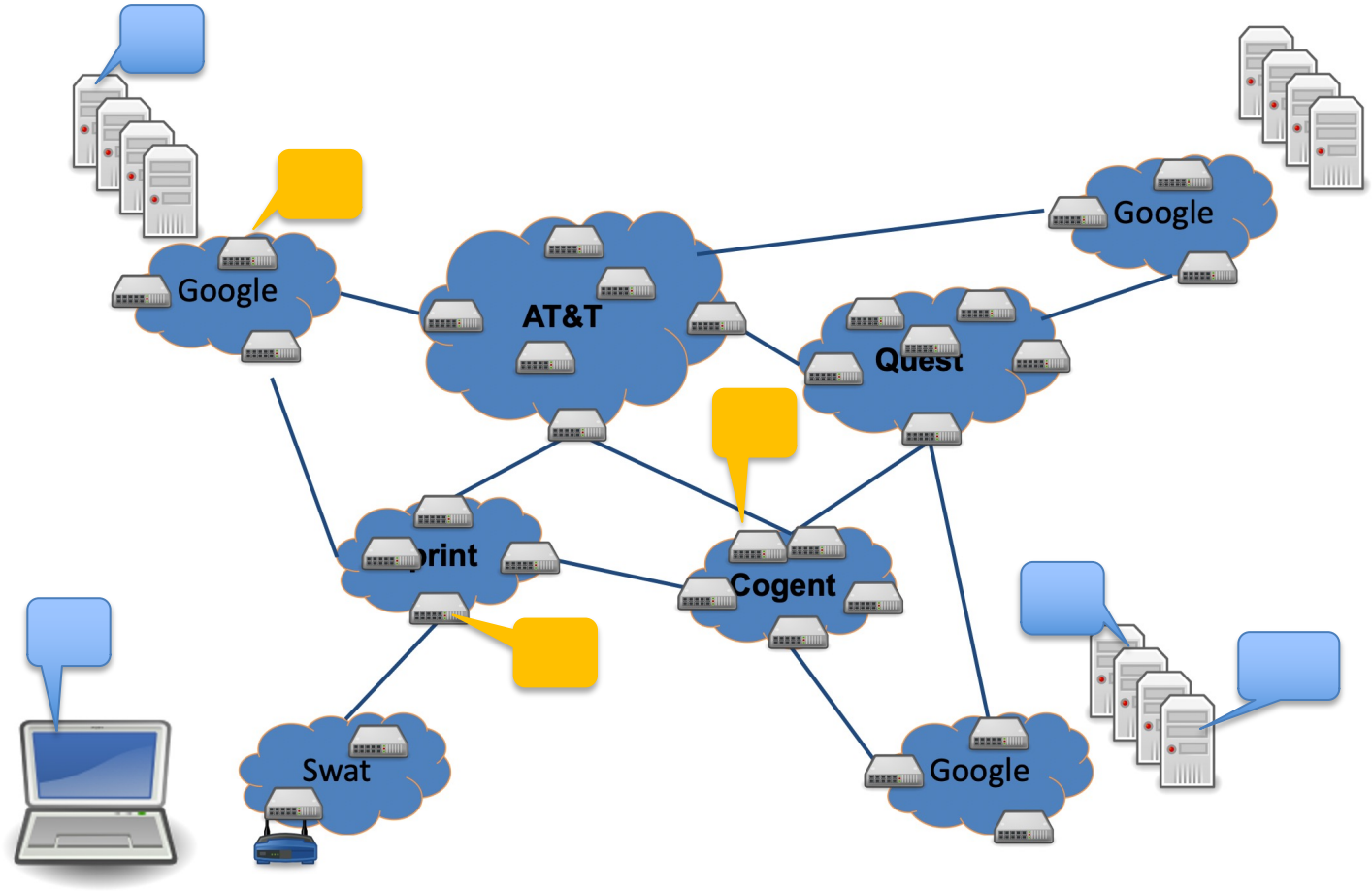


Are we done?



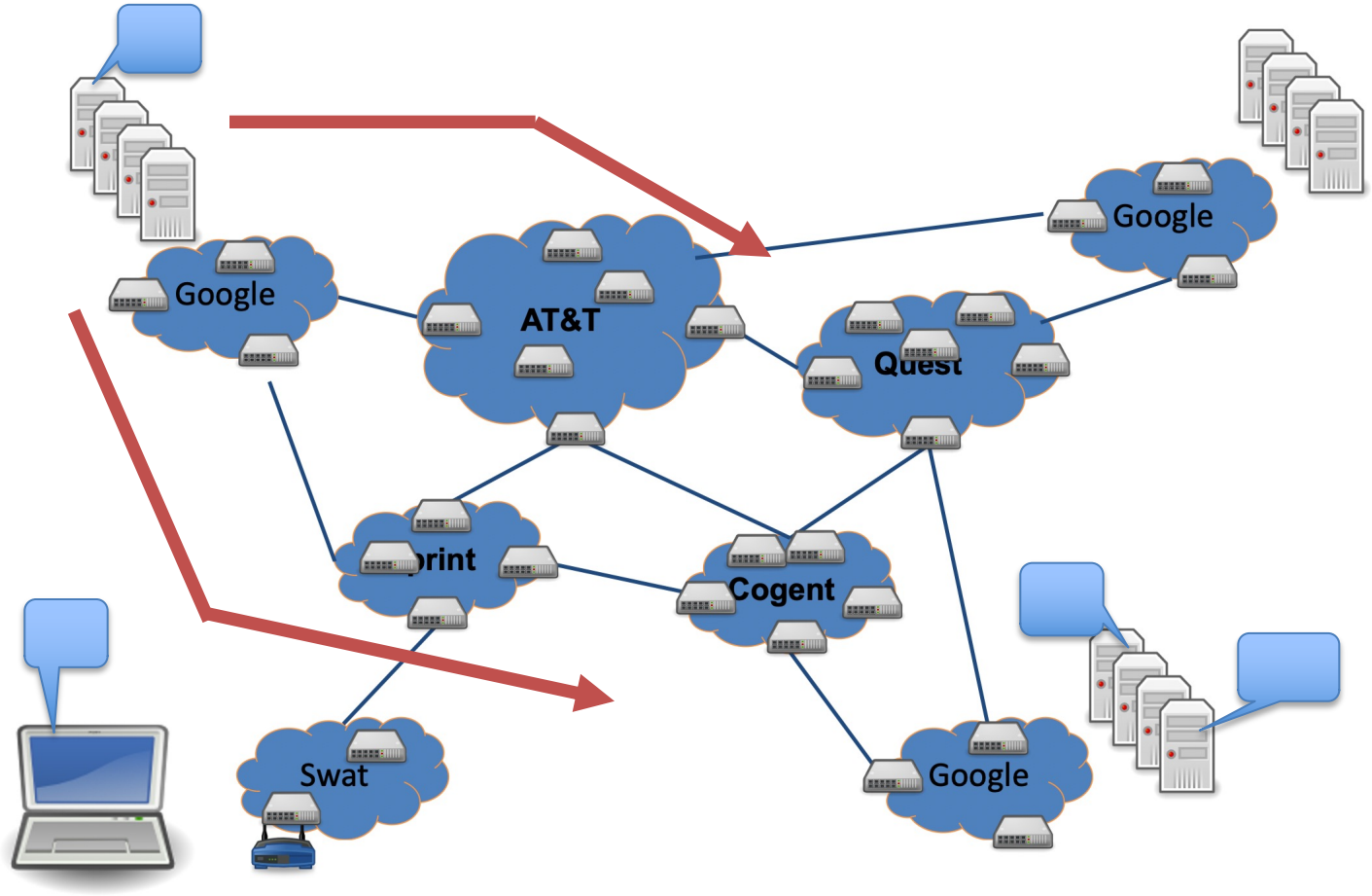
We only need... naming and addressing

Agreeing on how to describe/express a host, application, network, etc.



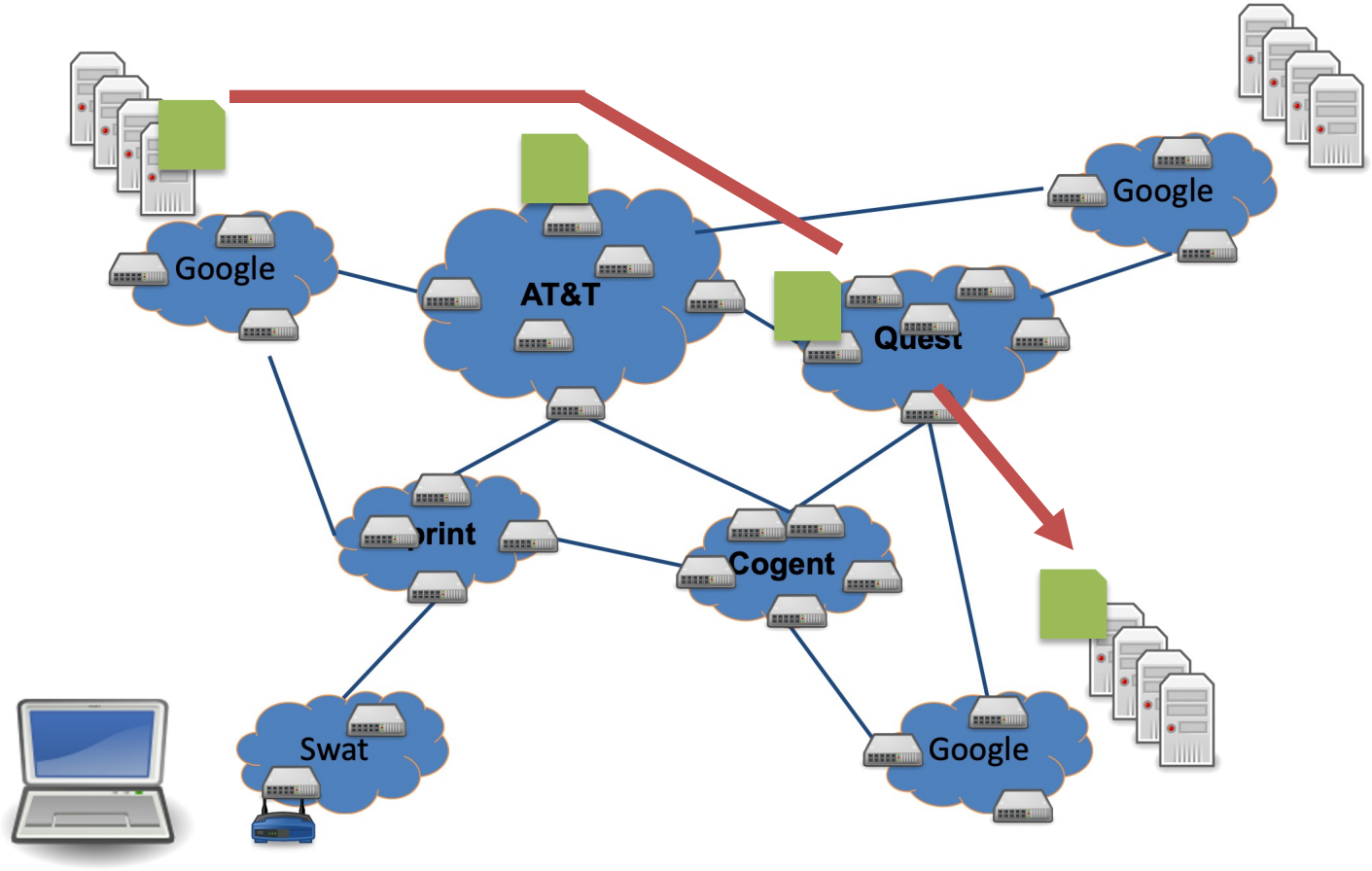
We only need… moving data to the destination

Routing: deciding how to get it there



We only need... moving data to the destination

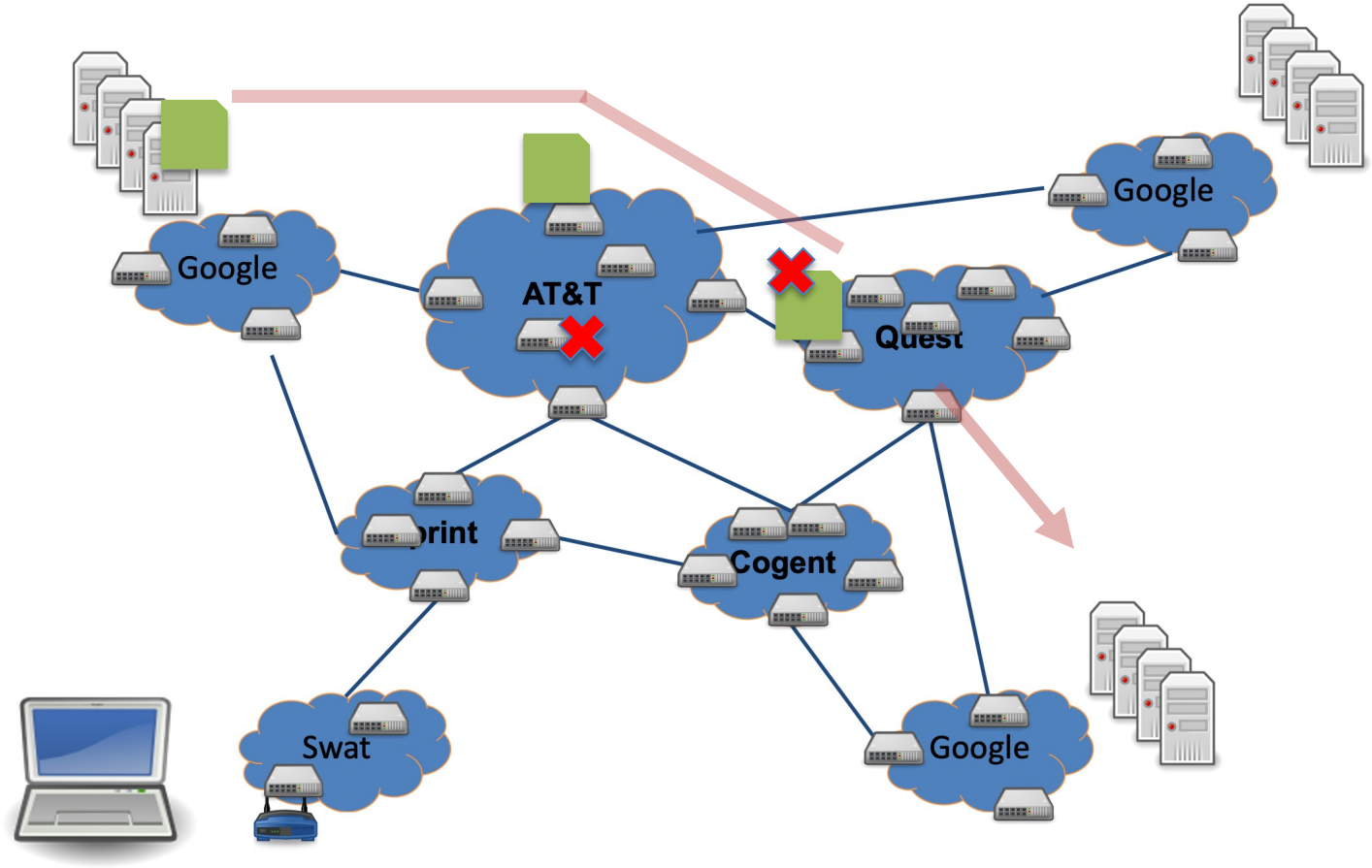
Forwarding: copying data across devices/links



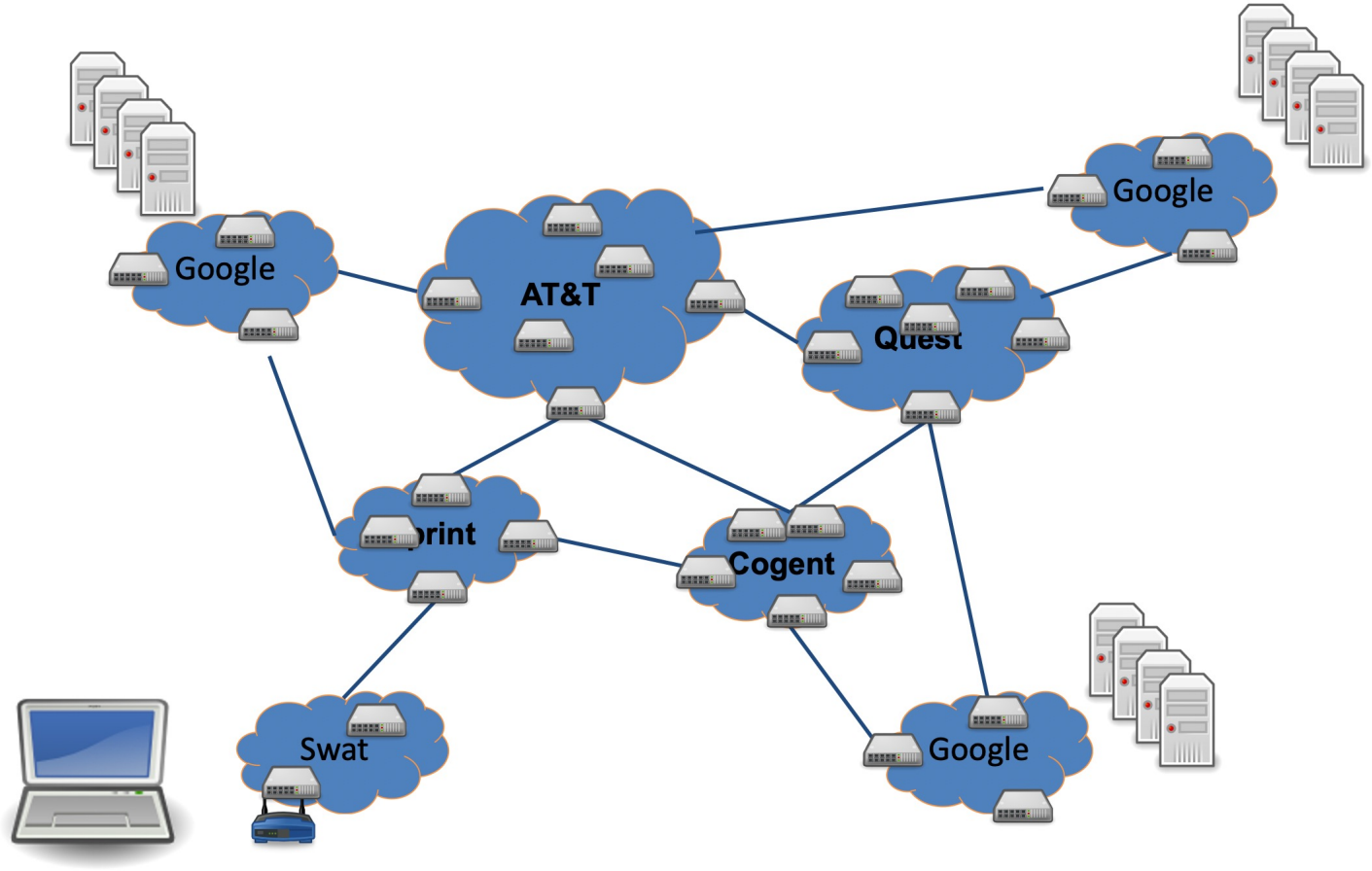
We only need... reliability and fault tolerance

how can we ...guarantee that the data arrives?

...handle link or device failures?

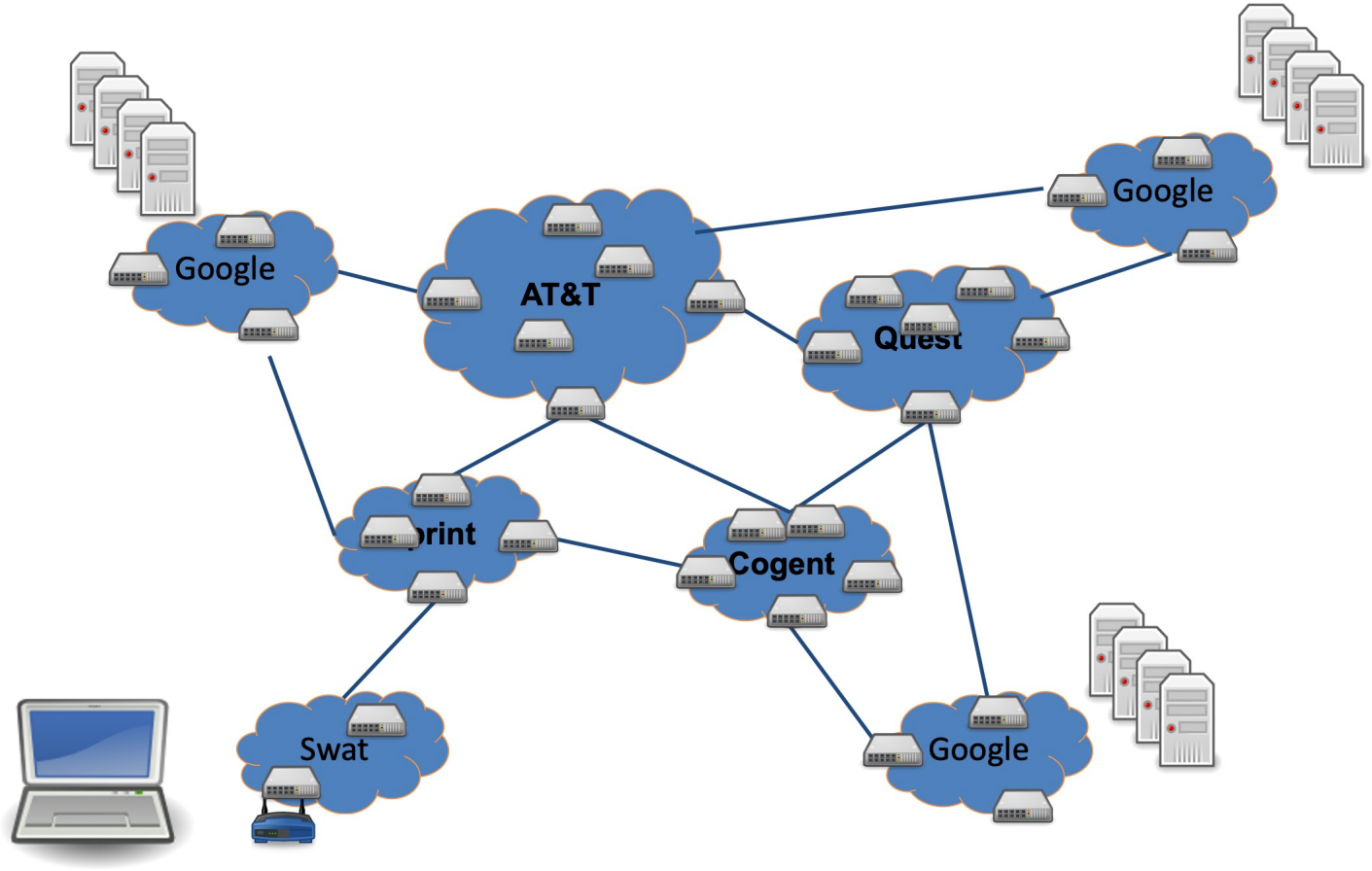


We only need… security and privacy



We only need… to manage complexity and scale up

Layering abstraction: divide responsibility
Protocols: standardize behavior for interoperability



We only need…

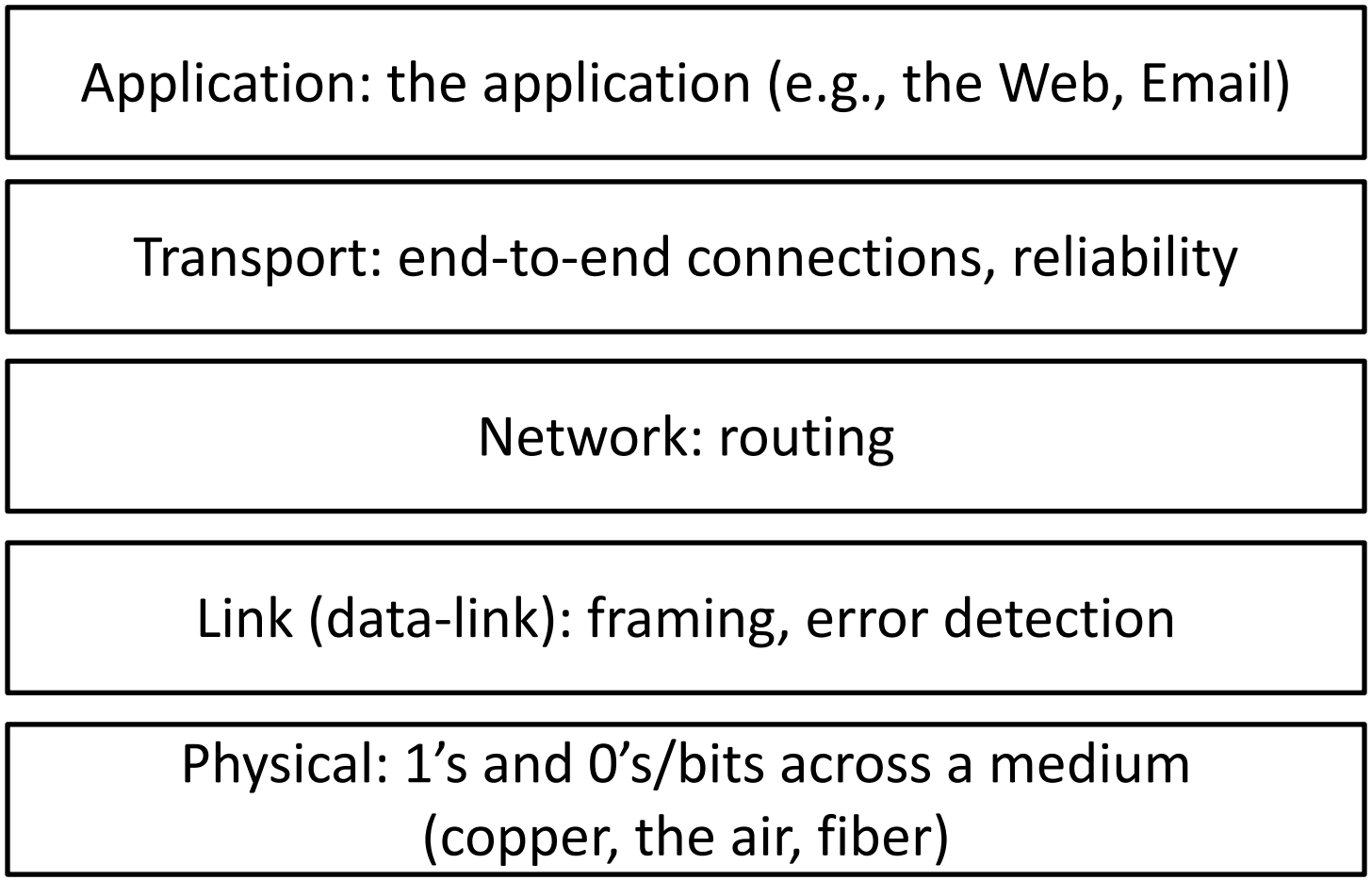
- Manage complexity and scale up
- Naming and addressing
- Moving data to the destination
- Reliability and fault tolerance
- Resource allocation, Security, Privacy..

We only need…

- Manage complexity and scale up
- Naming and addressing
- Moving data to the destination
- Reliability and fault tolerance
- Resource allocation, Security, Privacy..

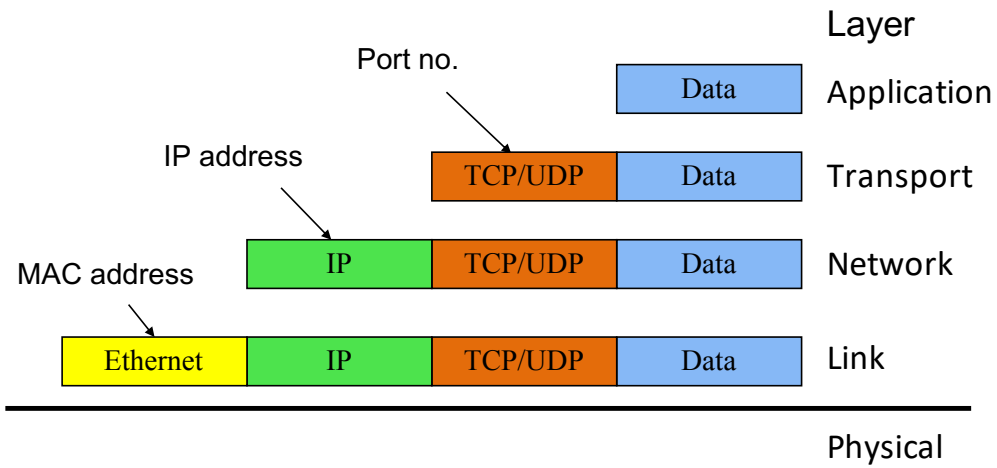
(Lots of others too.)

Five-Layer Internet Model



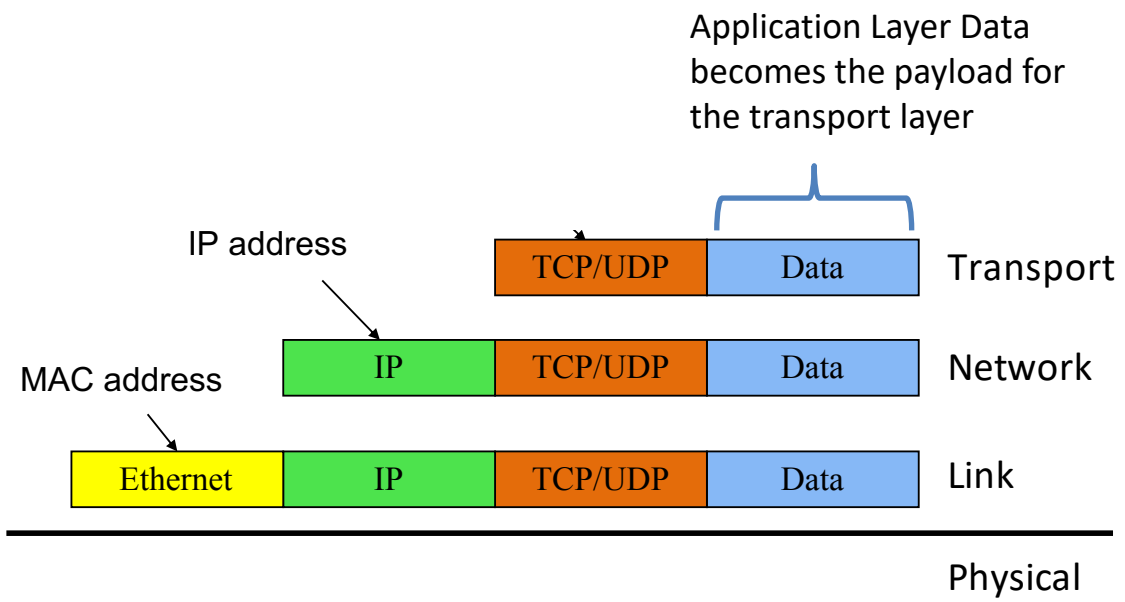
Application Layer (HTTP, FTP, SMTP, Tiktok)

- Does whatever an application does!



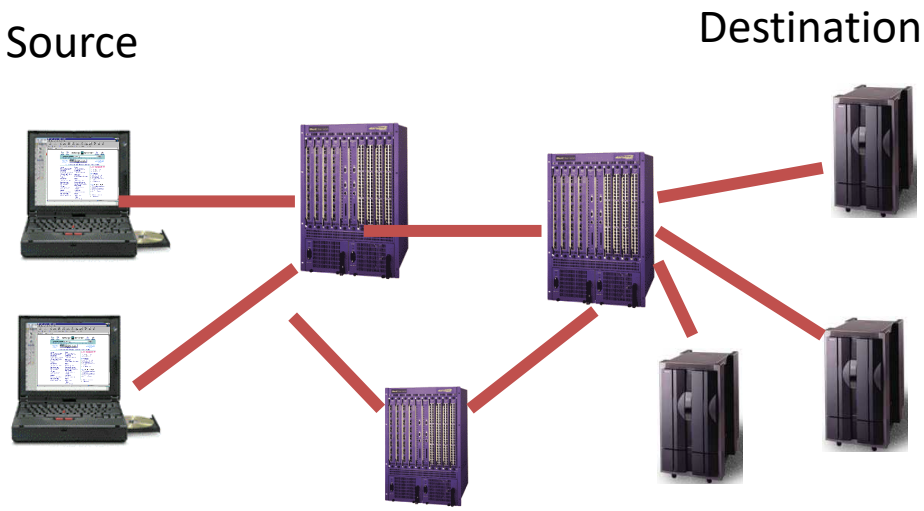
Transport Layer (TCP, UDP)

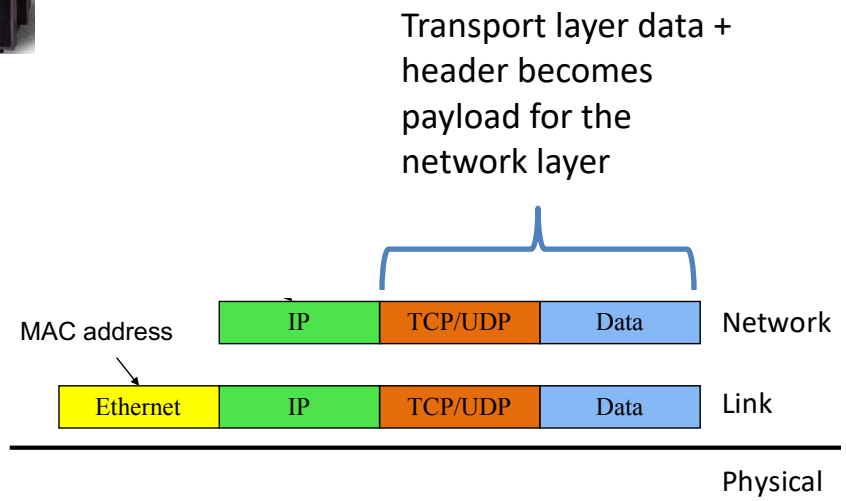
- Provides
  - Ordering
  - Error checking
  - Delivery guarantee
  - Congestion control
  - Flow control
- Or doesn't!



Network Layer (IP)

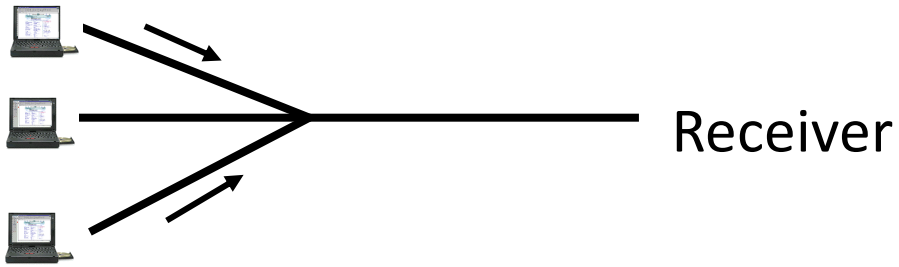
- **Routers**: choose paths through network



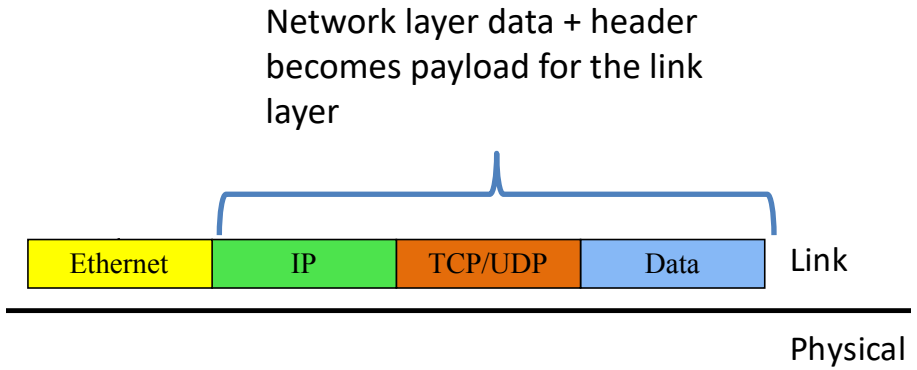


Link Layer (Ethernet, WiFi, Cable)

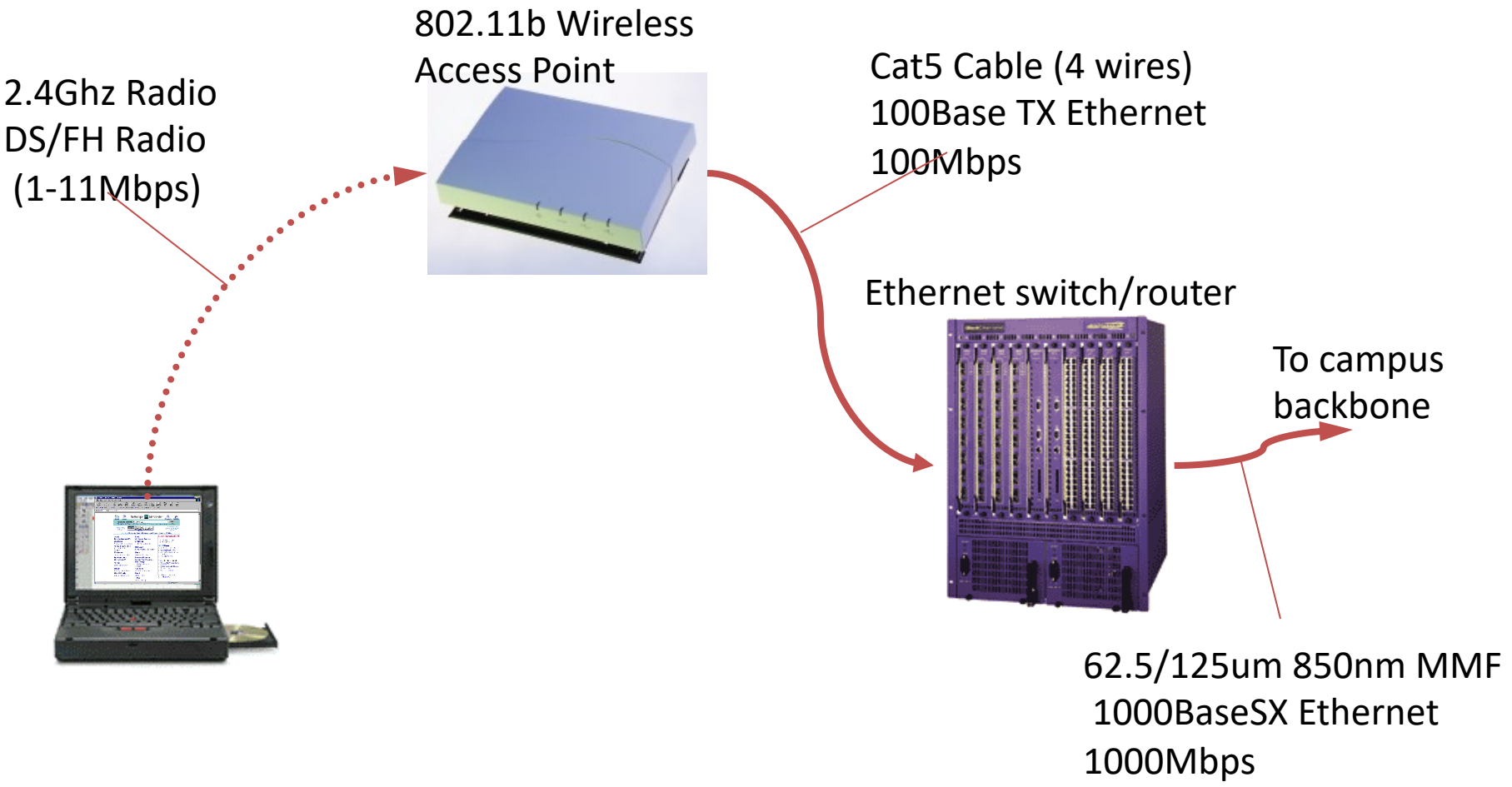
- Who's turn is it to send right now?
- Break message into frames
- Media access: can it send the frame now?



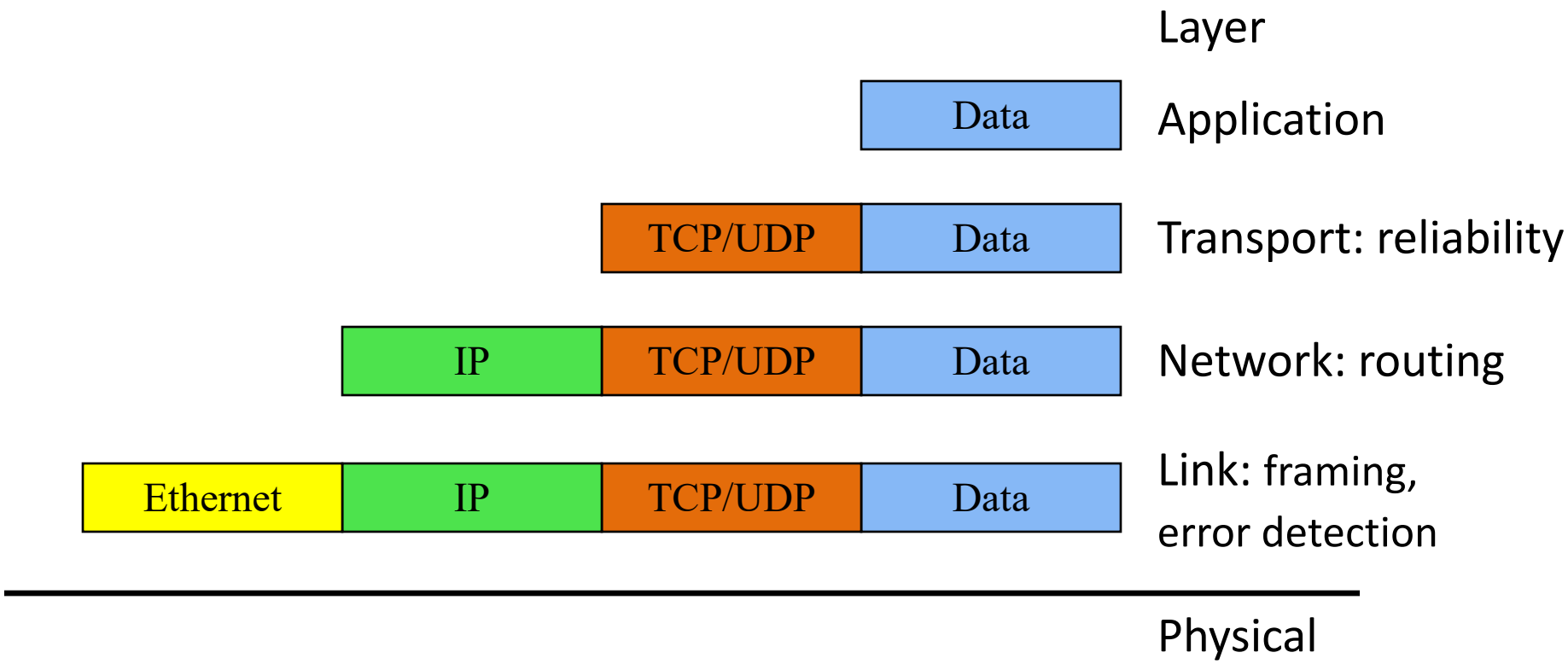
- Send frame, handle "collisions"



Physical layer – move actual bits! (Cat 5, Coax, Air, Fiber Optics)



Layering and encapsulation



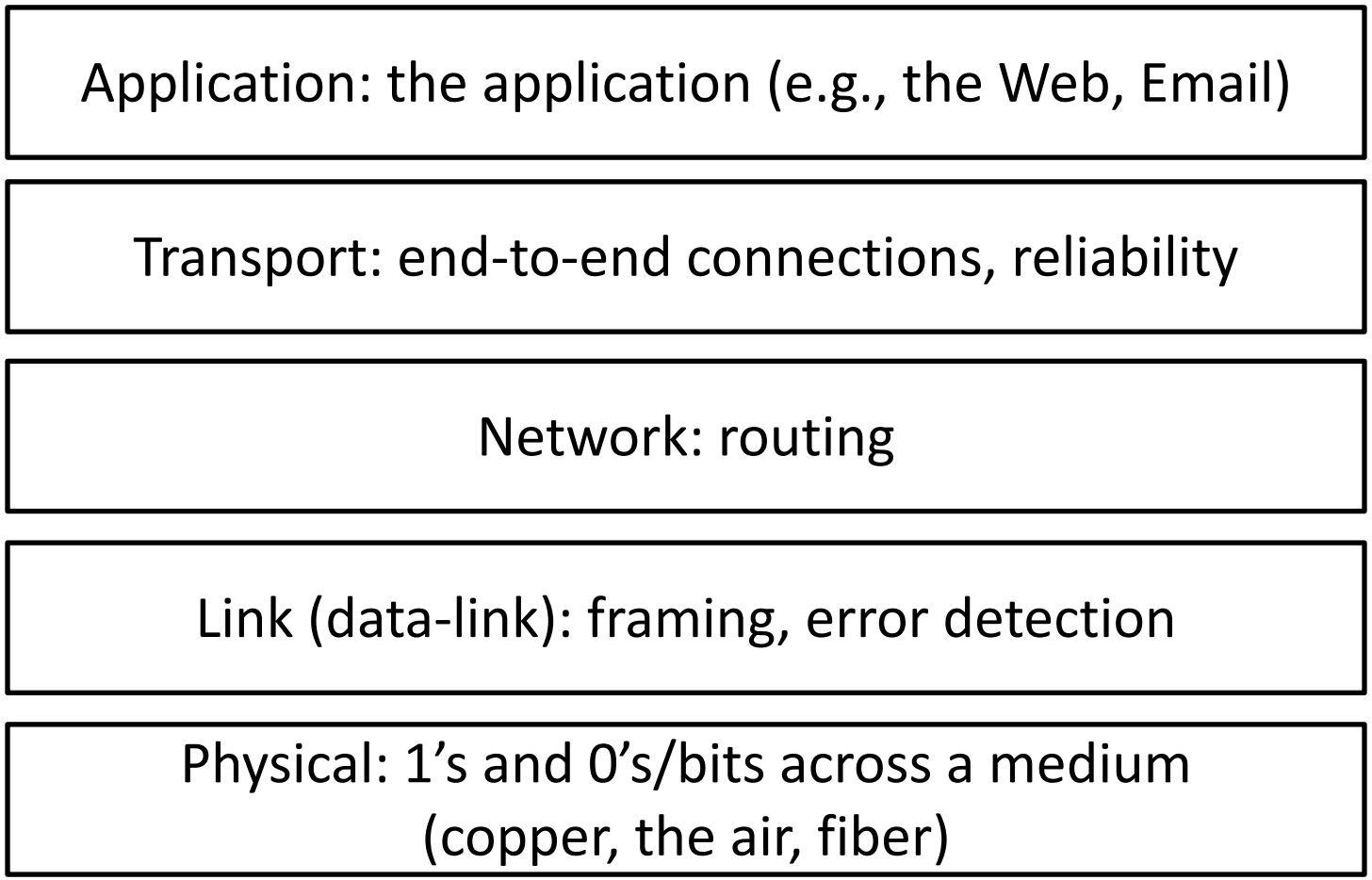
Layering: Separation of Functions

- explicit structure allows identification, relationship of complex system's pieces
  - layered reference model for discussion
  - reusable component design
- modularization eases maintenance
  - change of implementation of layer's service transparent to rest of system,
  - e.g., change in postal route doesn't effect delivery of lette

Abstraction!

- Hides the complex details of a process
- Use abstract representation of relevant properties make reasoning simpler
- Ex: Your knowledge of postal system:
  - Letters with addresses go in, come out other side

Five-Layer Internet Model



OSI Seven-Layer Model

Application: the application (e.g., the Web, Email)

Presentation: formatting, encoding, encryption

Session: sockets, remote procedure call

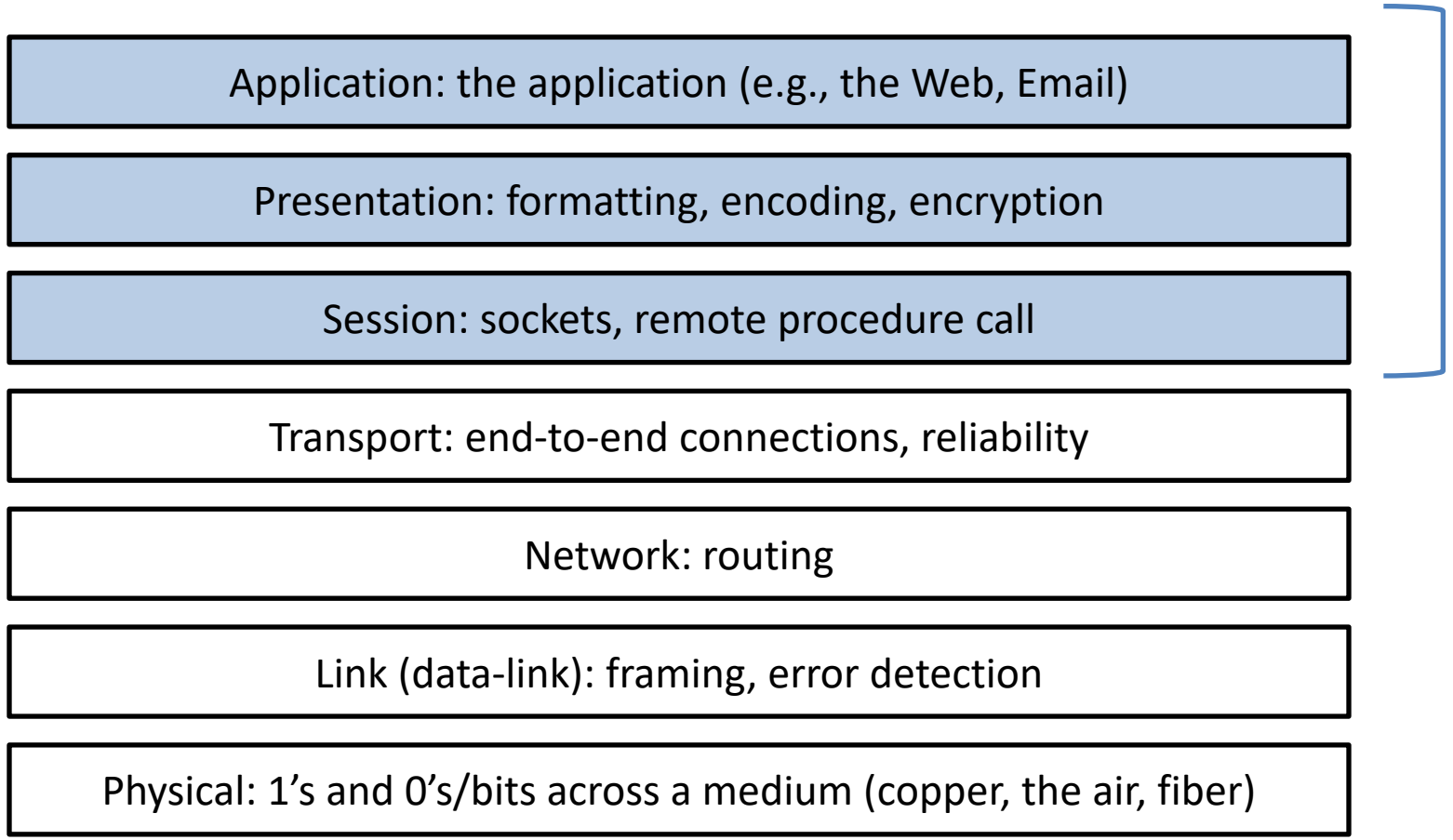
Transport: end-to-end connections, reliability

Network: routing

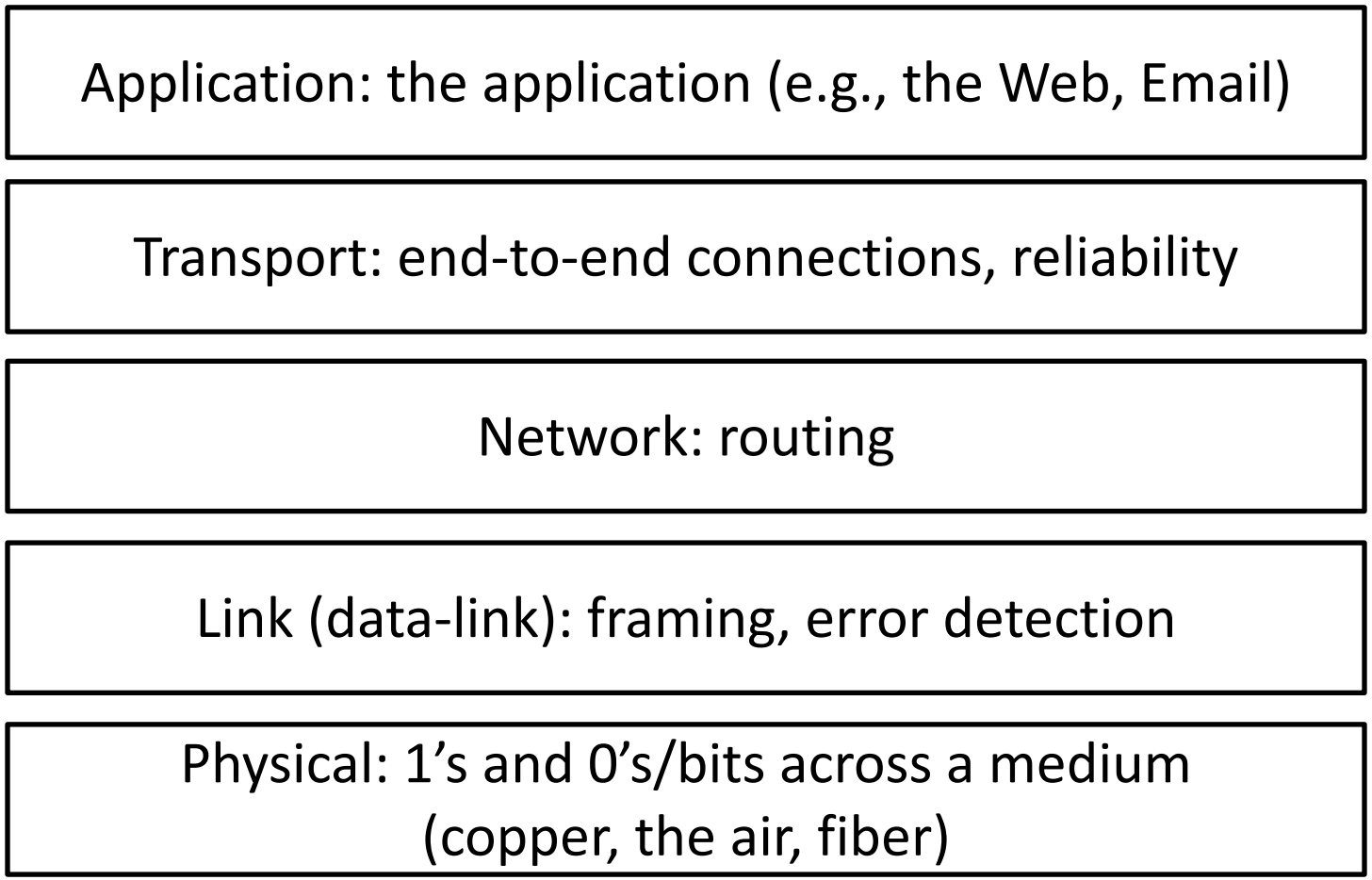
Link (data-link): framing, error detection

Physical: 1’s and 0’s/bits across a medium (copper, the air, fiber)

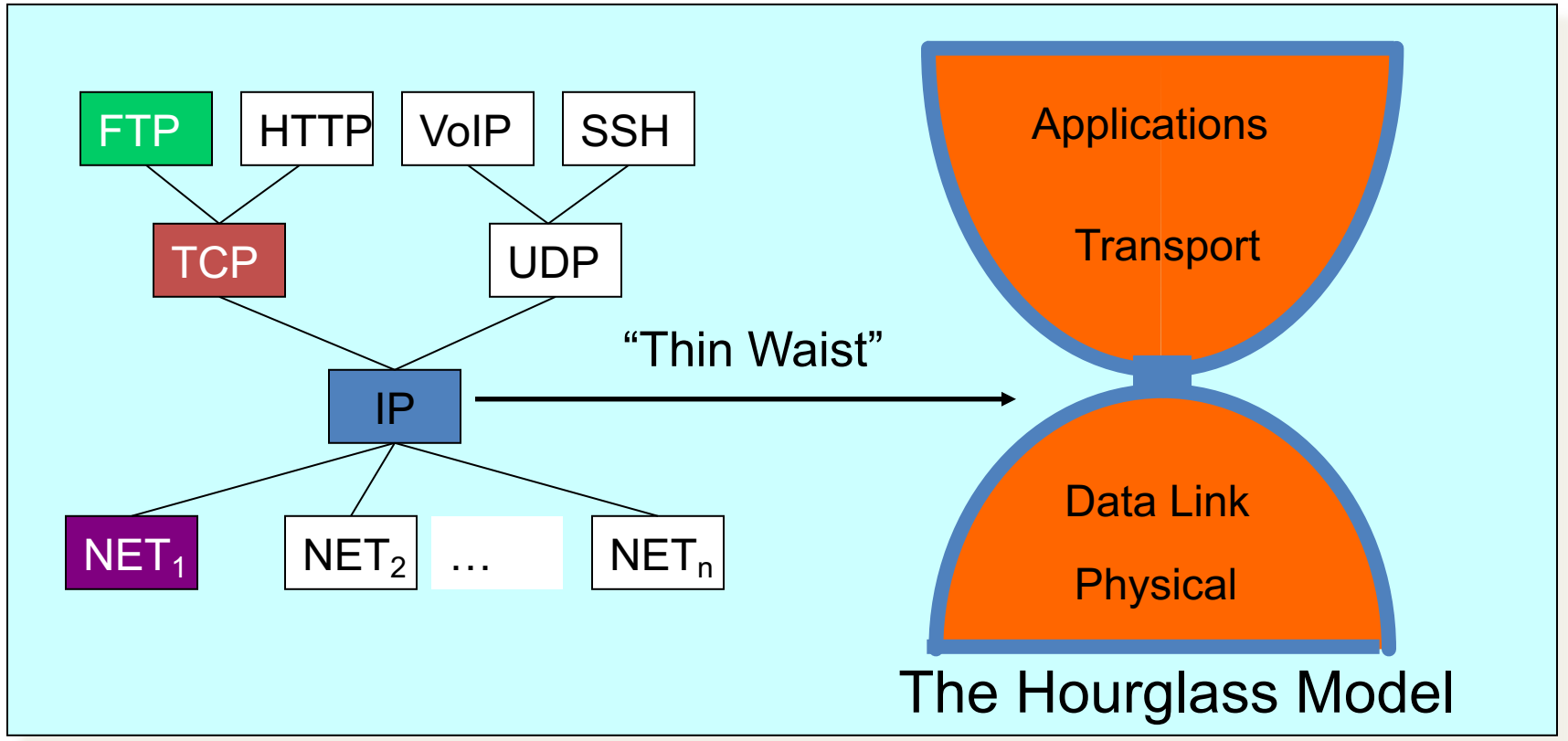
OSI Seven-Layer Model



Five-Layer Internet Model

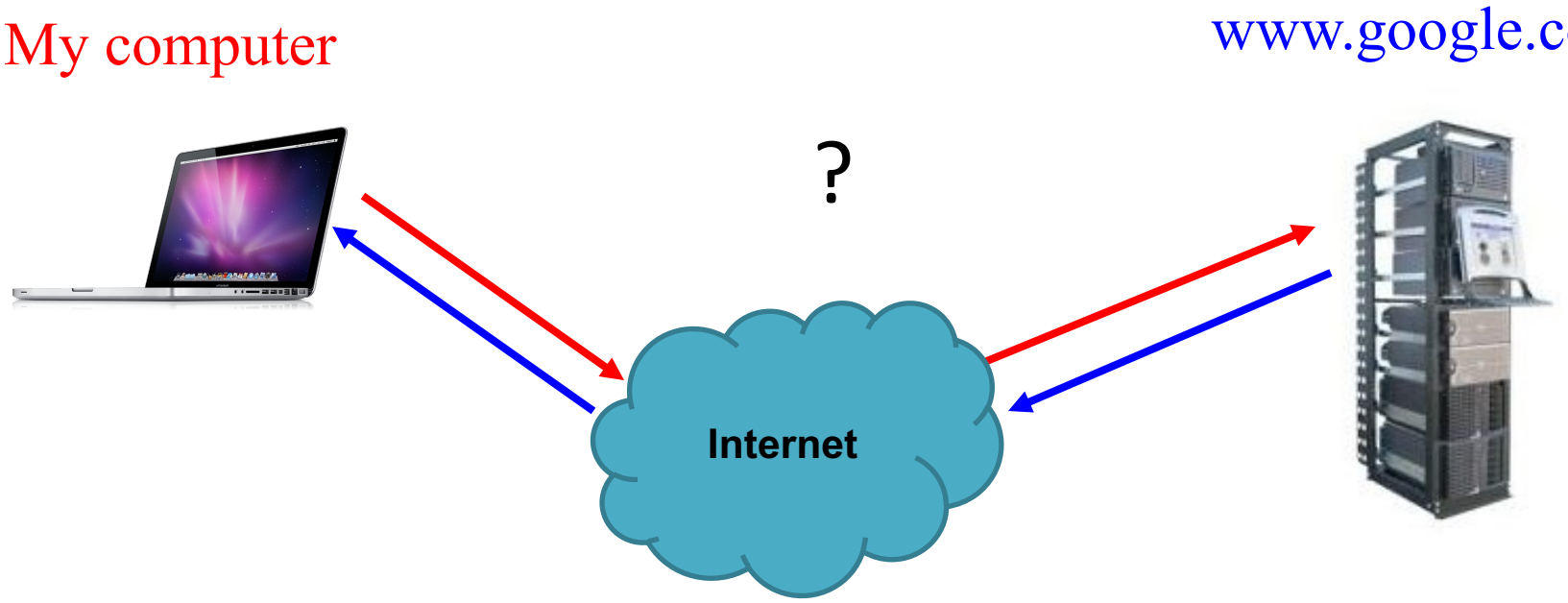


Internet Protocol Suite



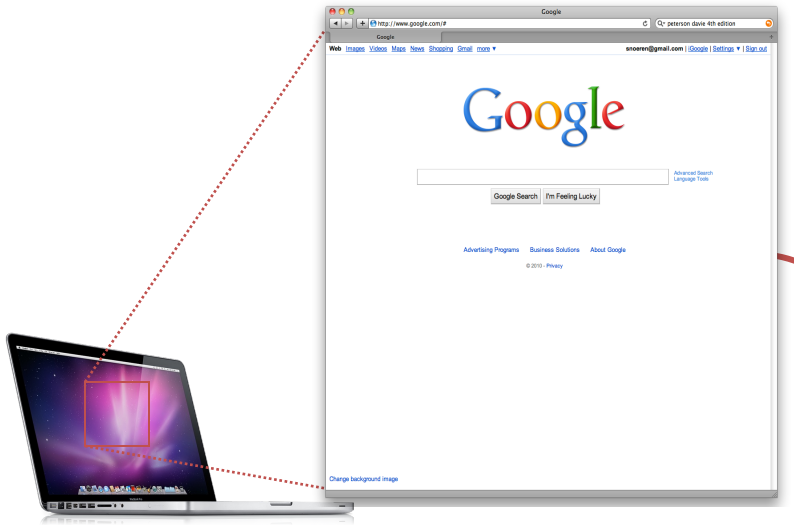
Putting this all together

- **ROUGHLY**, what happens when I click on a Web page from Swarthmore?



Application Layer: Web request (HTTP)

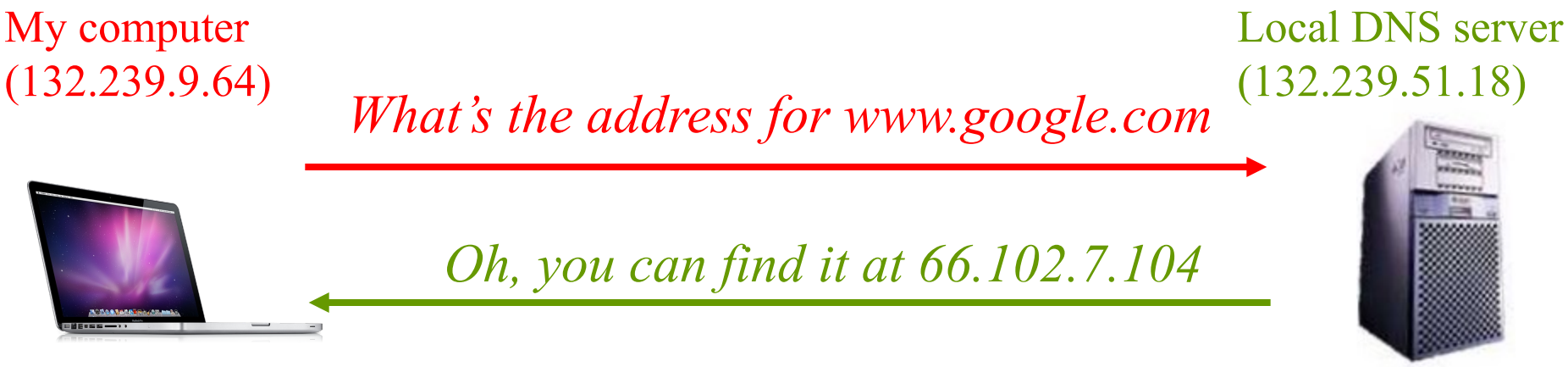
- Turn click into HTTP request




```
GET http://www.google.com/ HTTP/1.1
Host: www.google.com
...
```

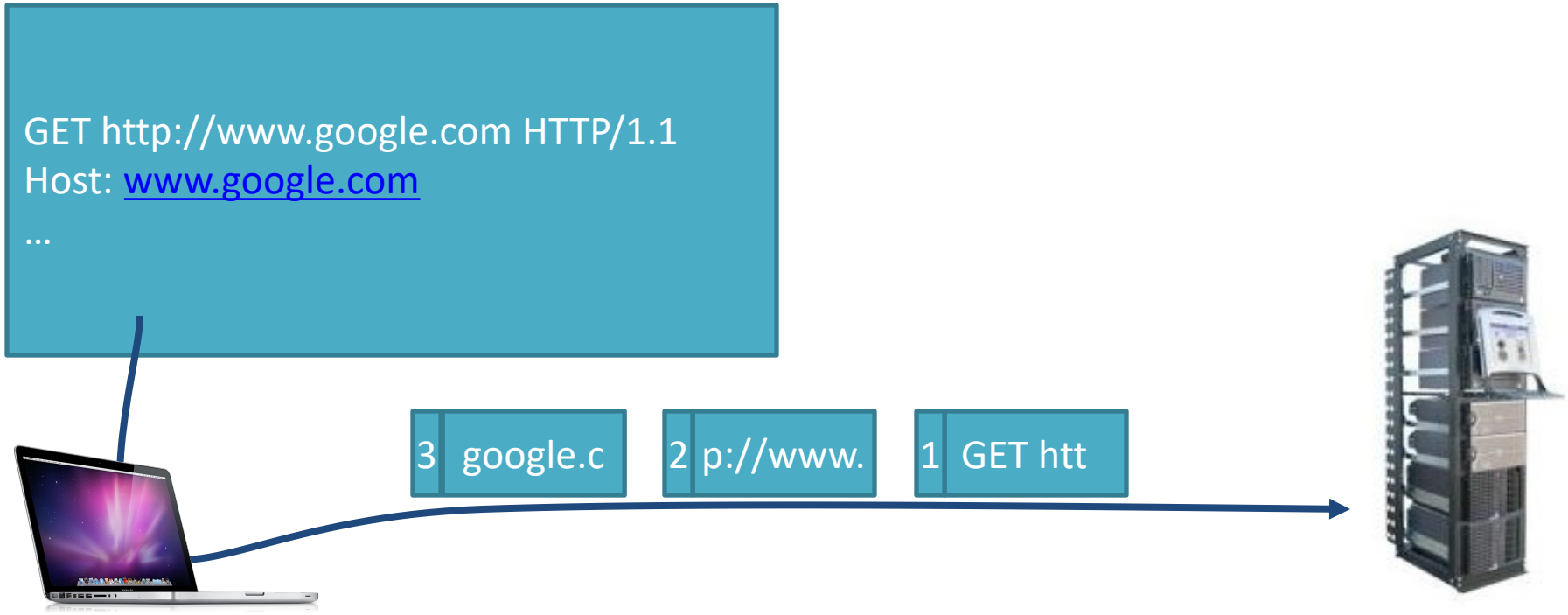
Application Layer: Name resolution (DNS)

- Where is www.google.com?



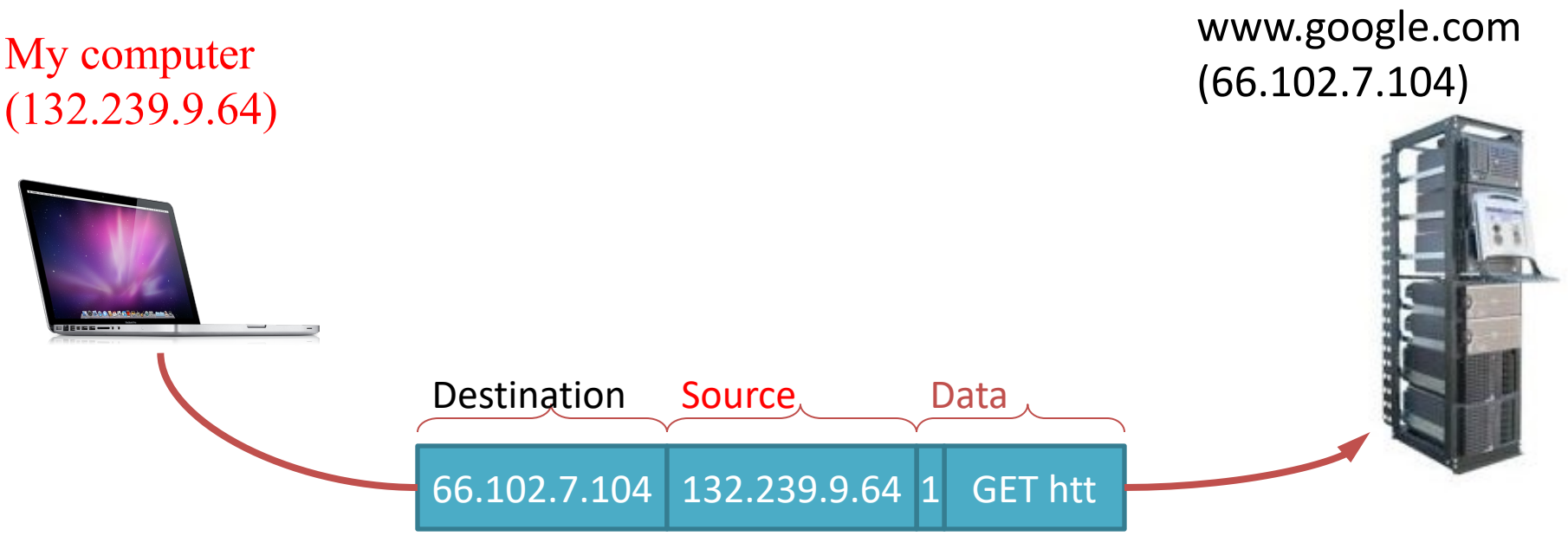
Transport Layer: TCP

- Break message into packets (TCP segments)
- Should be delivered reliably & in-order



Network Layer: Global Network Addressing

- Address each packet so it can traverse network and arrive at host

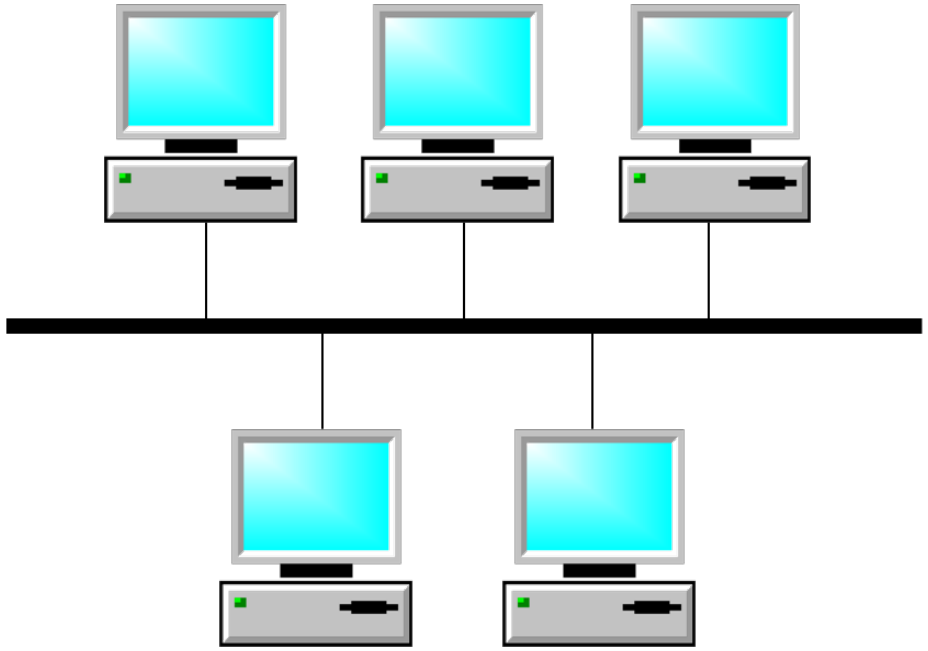


Network Layer: (IP) At Each Router

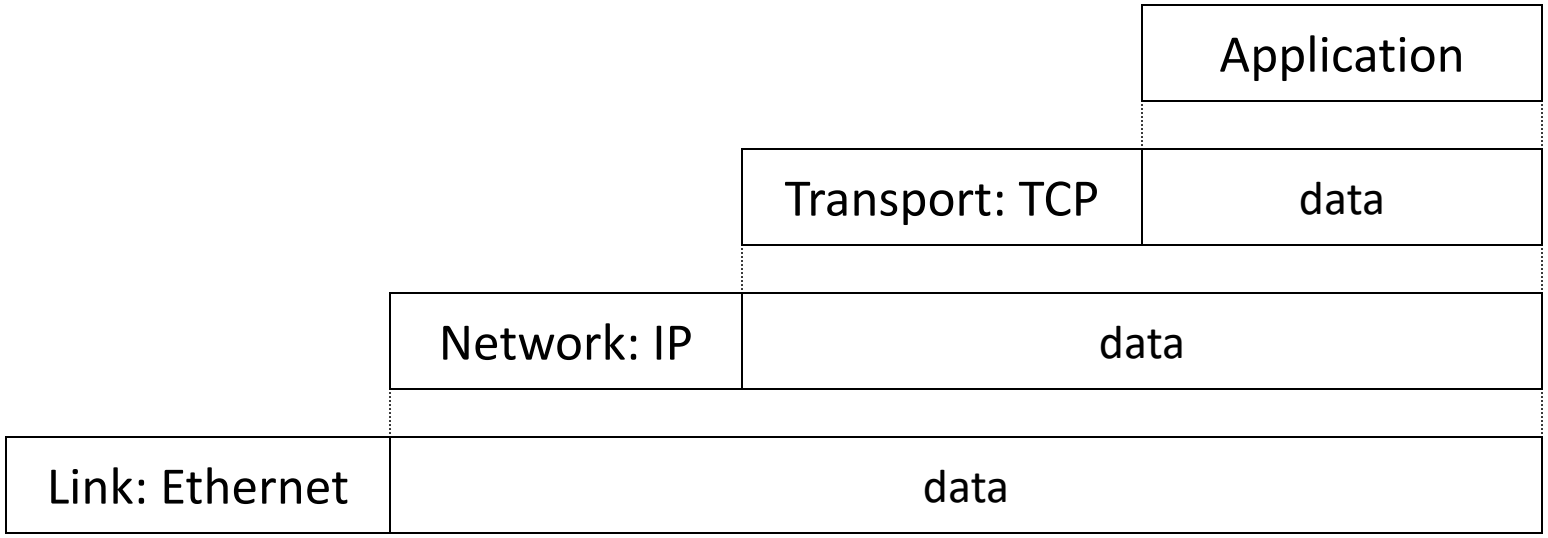
- Where do I send this to get it closer to Google?
- Which is the best route to take?

Link & Physical Layers (Ethernet)

- Forward to the next node!
- Share the physical medium.
- Detect errors.

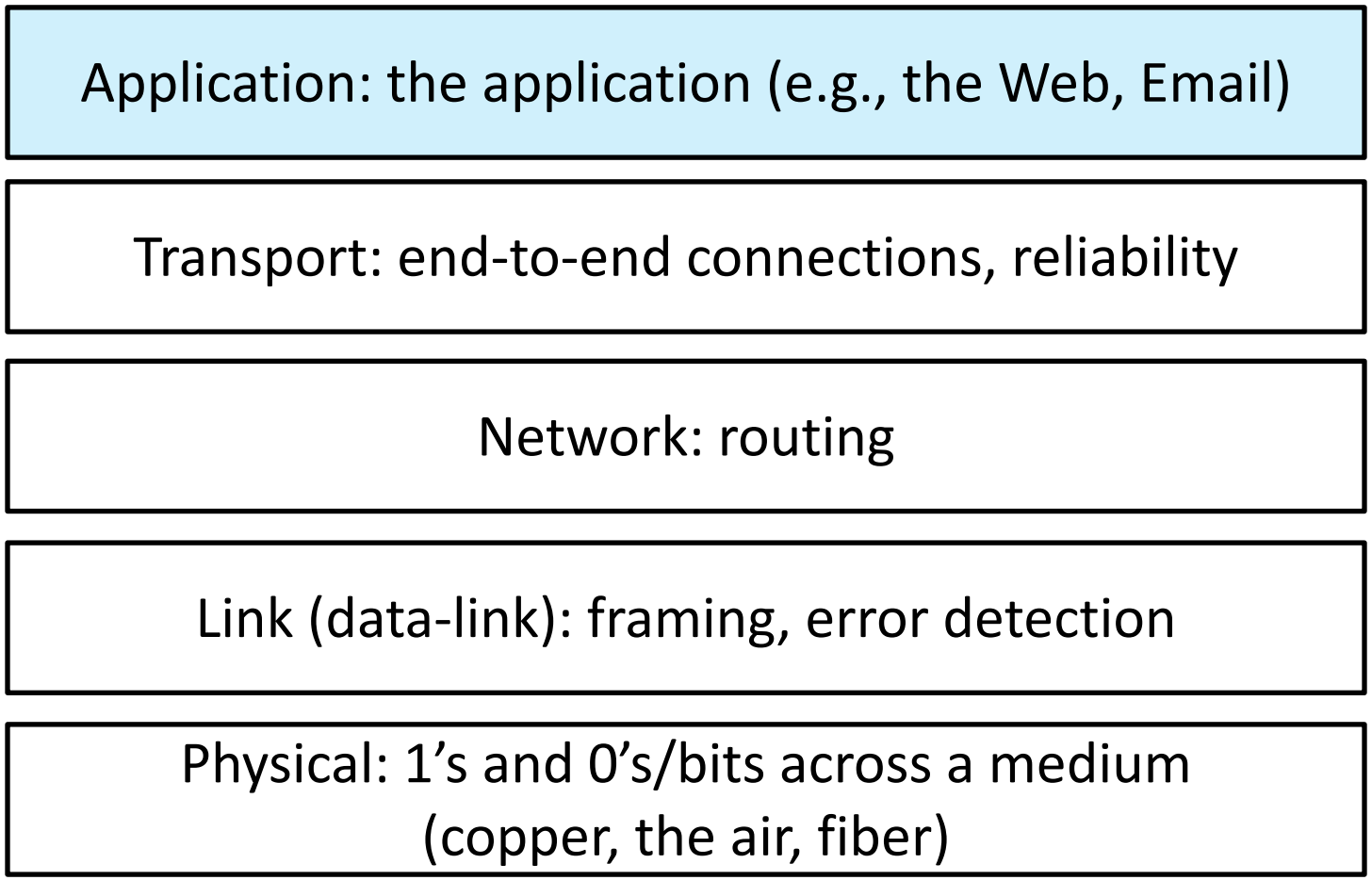


Message Encapsulation

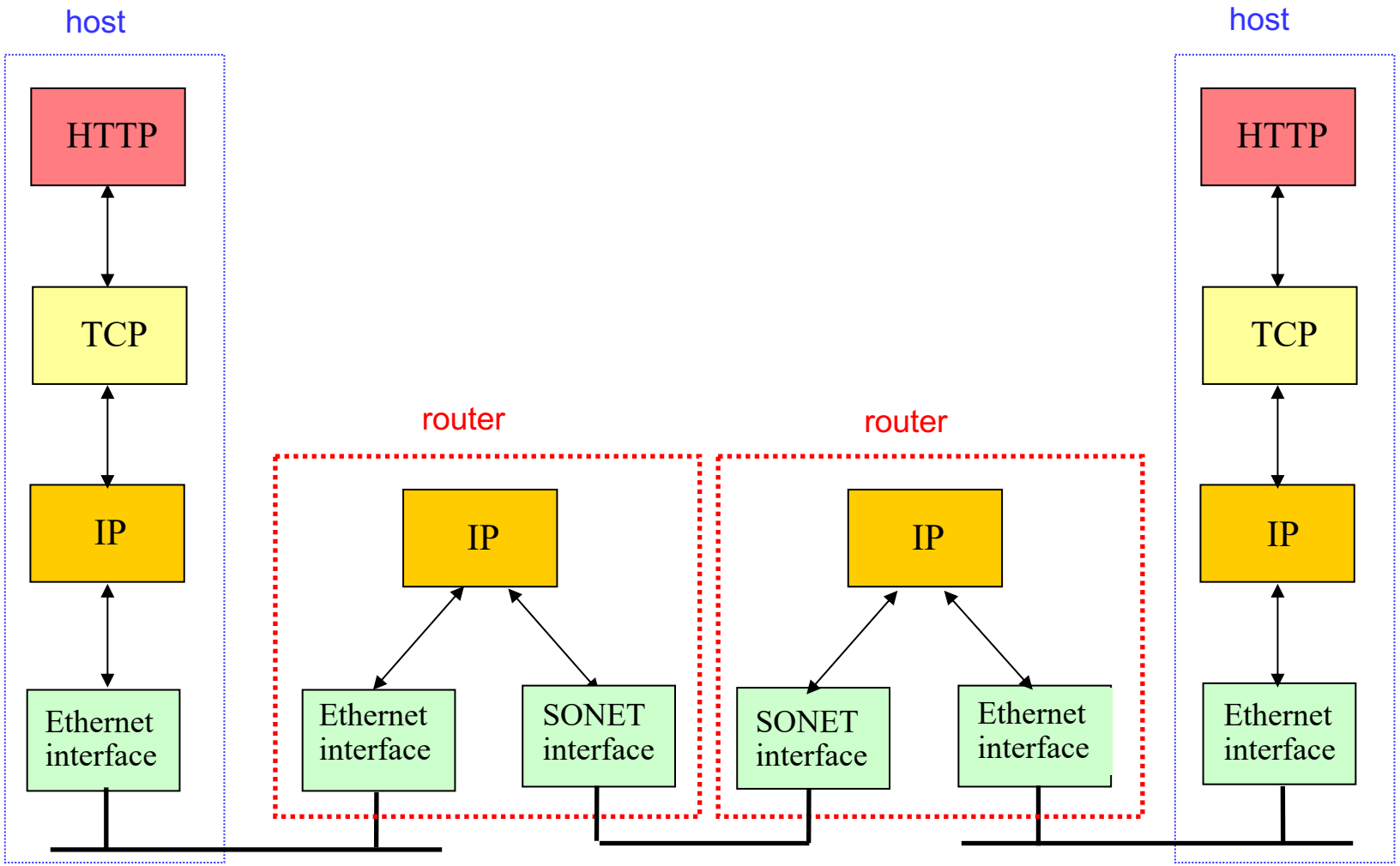


- Higher layer within lower layer
- Each layer has different concerns, provides abstract services to those above

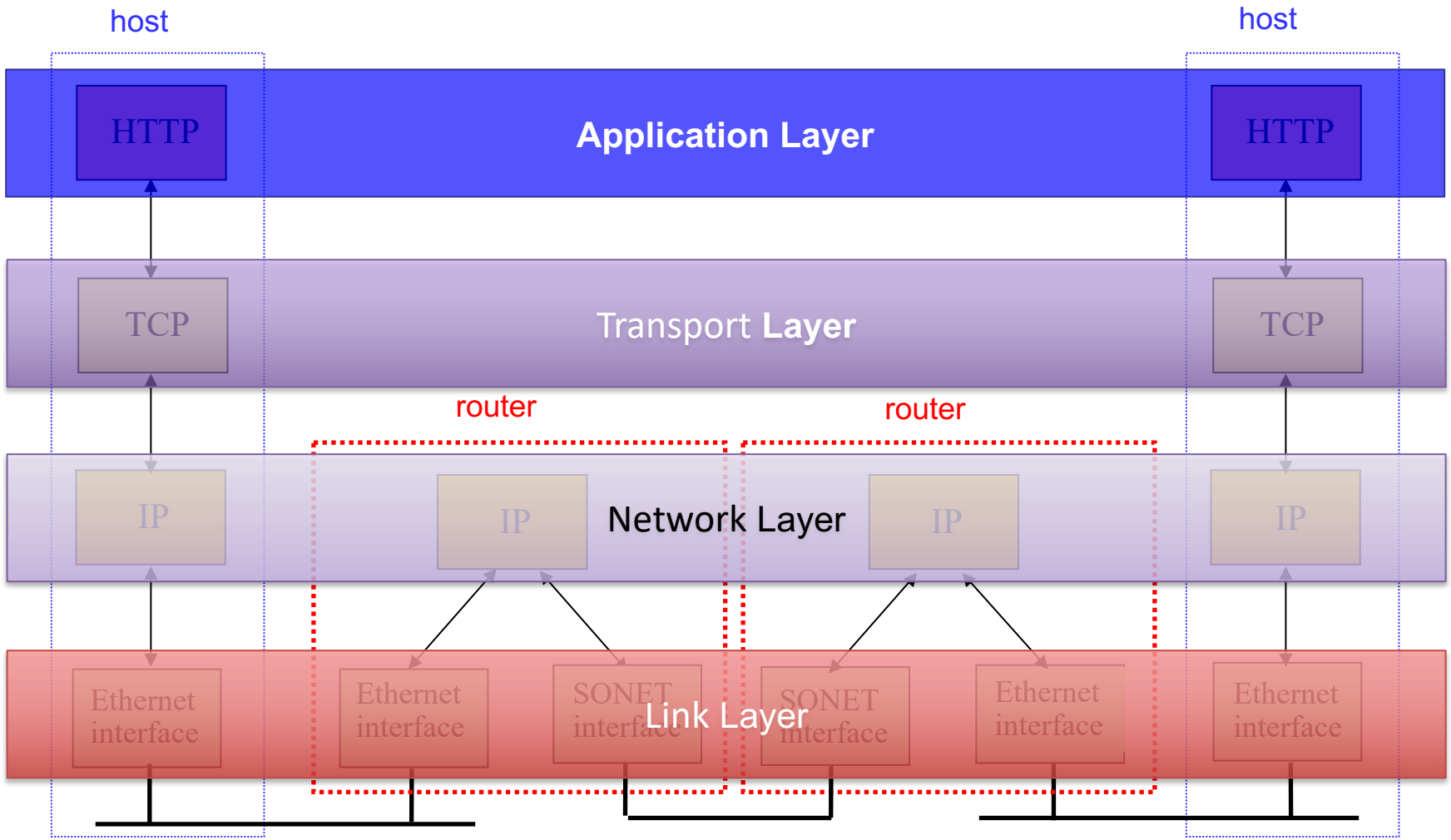
Five-Layer Internet Model



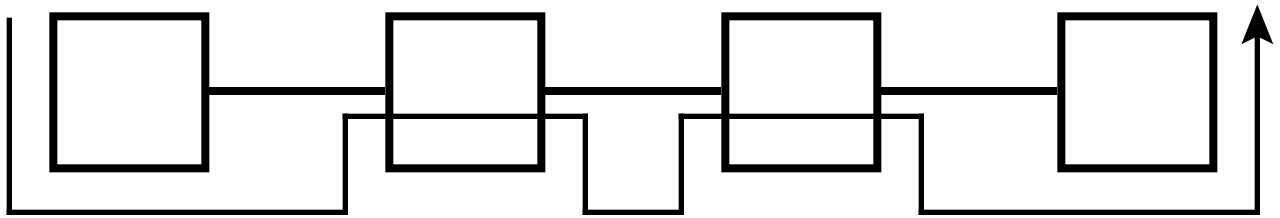
TCP/IP Protocol Stack



TCP/IP Protocol Stack



The "End-to-End" Argument



Don't provide a function at lower layer if you have to do it at higher layer anyway ...

... *unless there is a very good performance reason to do so.*

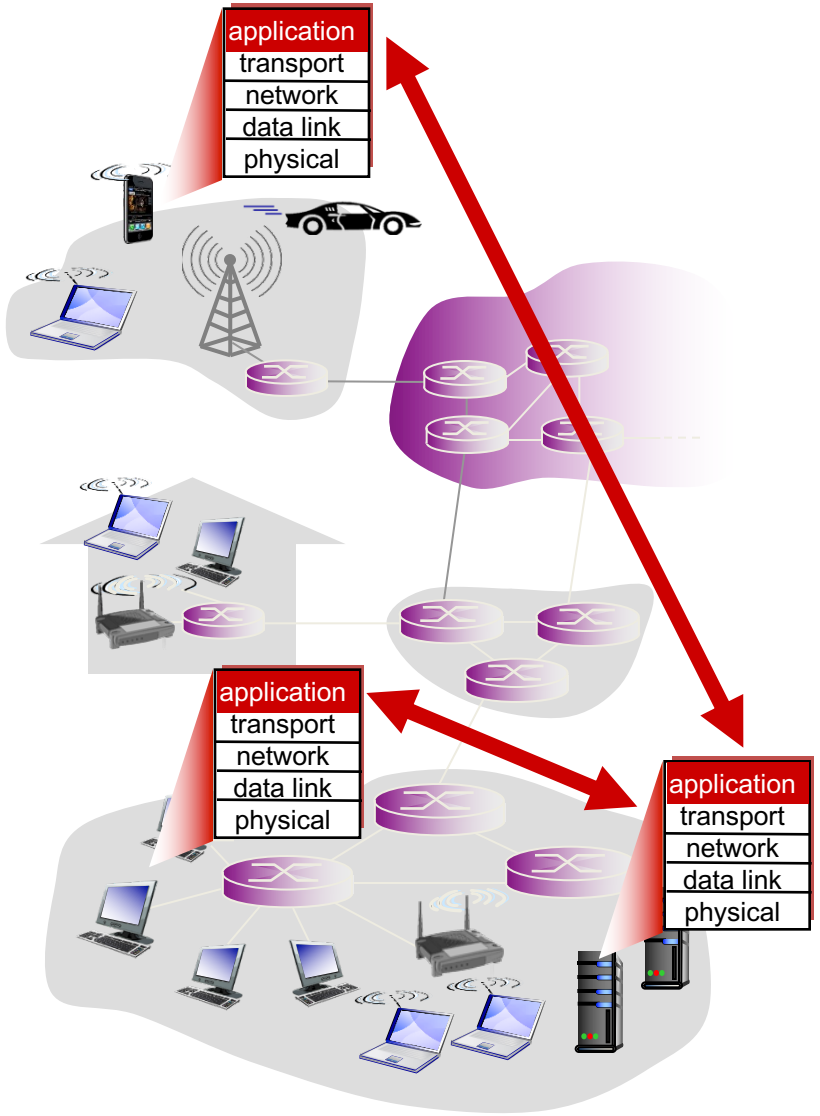
Examples: error control, quality of service

Reference: Saltzer, Reed, Clark, "End-To-End Arguments in System Design," ACM Transactions on Computer Systems, Vol. 2 (4), pp. 277-288, 1984.

Creating a network app

write programs that:

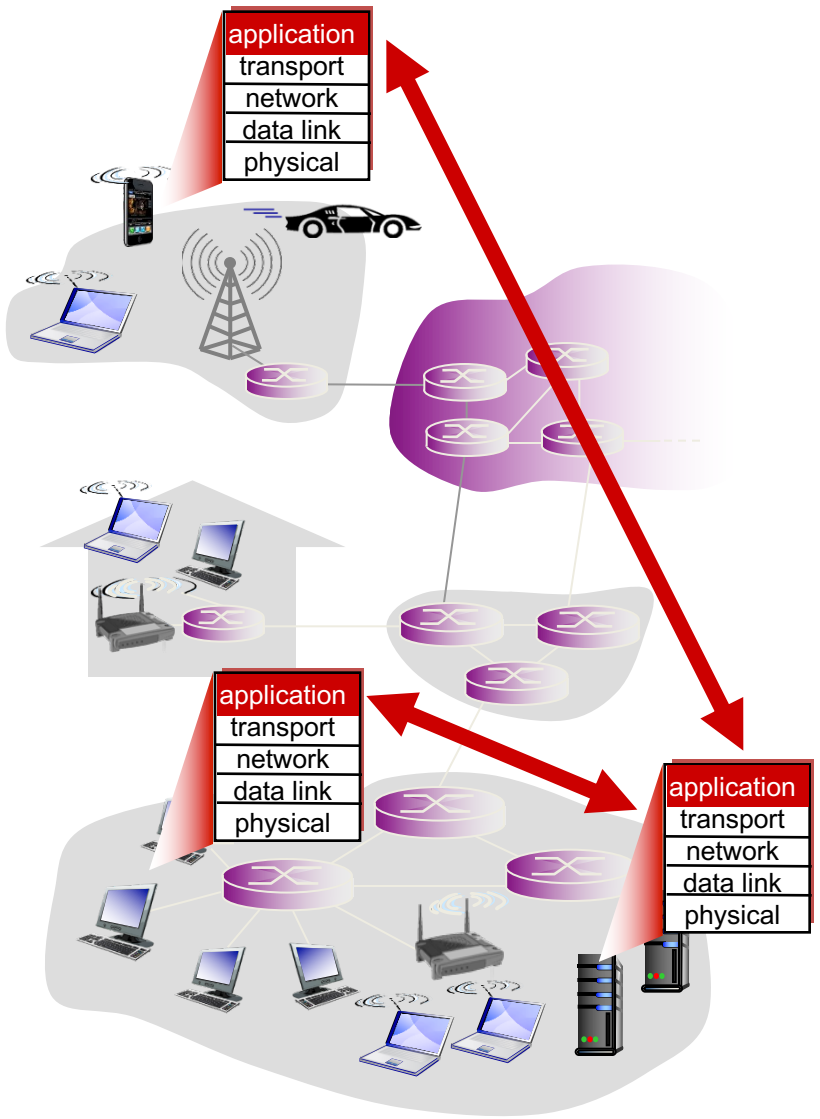
- run on (different) *end systems*
- communicate over network
- e.g., web server s/w communicates with browser software



Creating a network app

no need to write software for network-core devices!

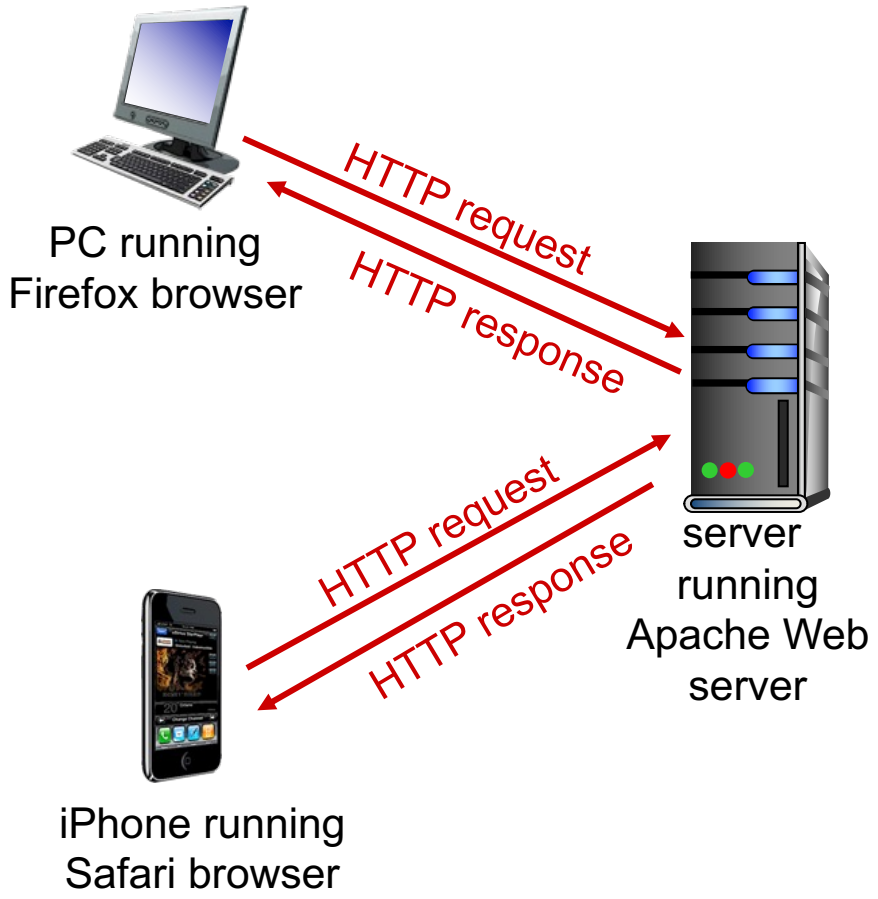
- network-core devices do not run user applications
- applications on end systems
  - rapid app development, propagation



HTTP: HyperText Transfer Protocol

Client/Server model

- client: browser that uses HTTP to request, and receive Web objects.
- server: Web server that uses HTTP to respond with requested object.



What IS A Web Browser?

HTTP and the Web

- web page consists of objects
- object can be: an HTML file (index.html)

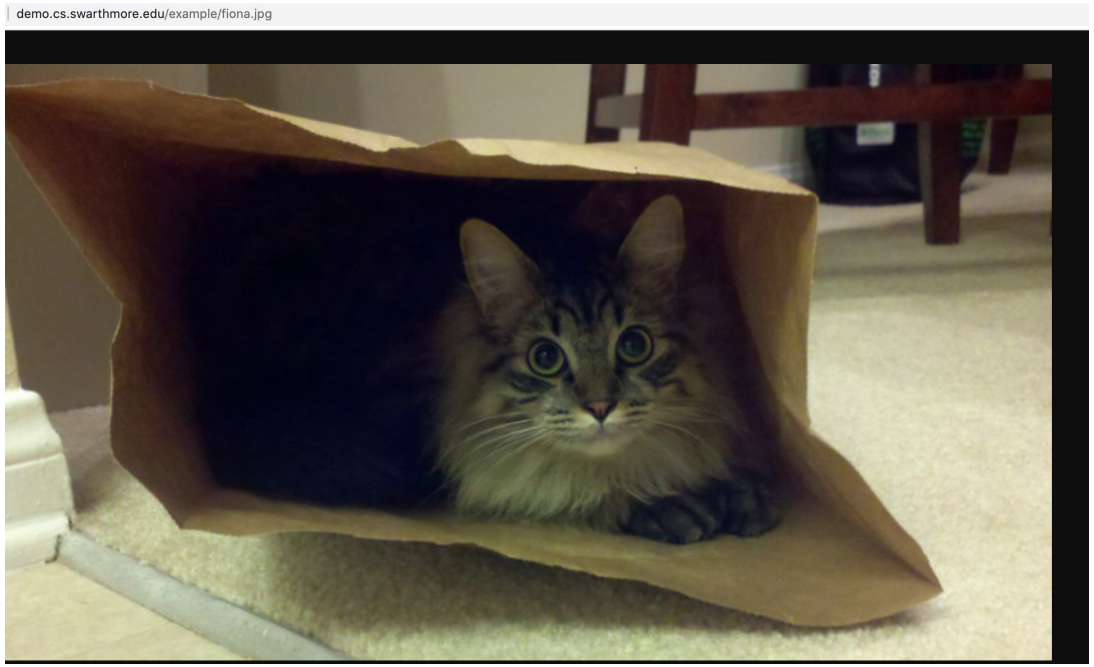
demo.cs.swarthmore.edu/index.html

This is the root page of the demo server. The interesting examples live in the /example directory. They are:

- /example/directory/: An example of a directory.
- /example/fiona.jpg: An example image (one of Kevin's cats).
- /example/hello.txt: A simple text file.
- /example/index.html: An HTML file serving as the default page for the /example directory.
- /example/pic.html: An HTML file that links to the cat picture.
- /example/pride_and_prejudice.pdf: A large PDF (binary) file containing Jane Austen's "Pride and Prejudice".
- /example/pride_and_prejudice.txt: A large text file containing Jane Austen's "Pride and Prejudice".

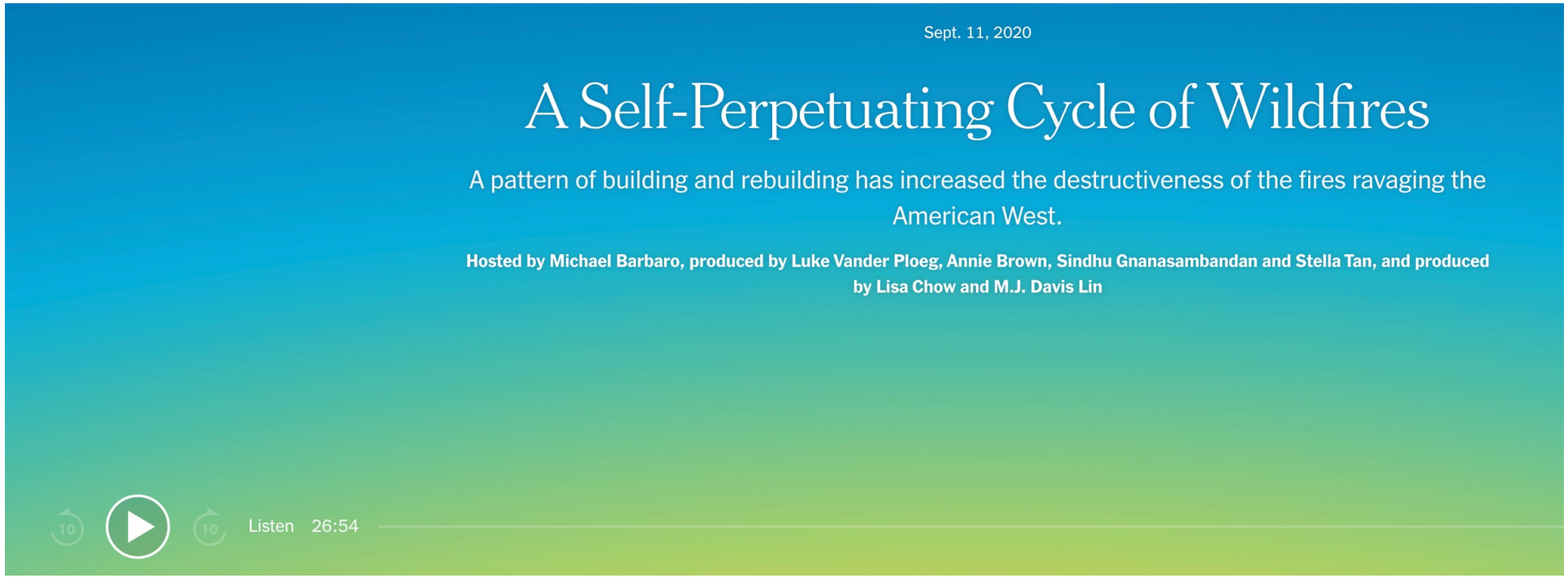
Web objects

- web page consists of objects
- object can be: JPEG image



Web objects

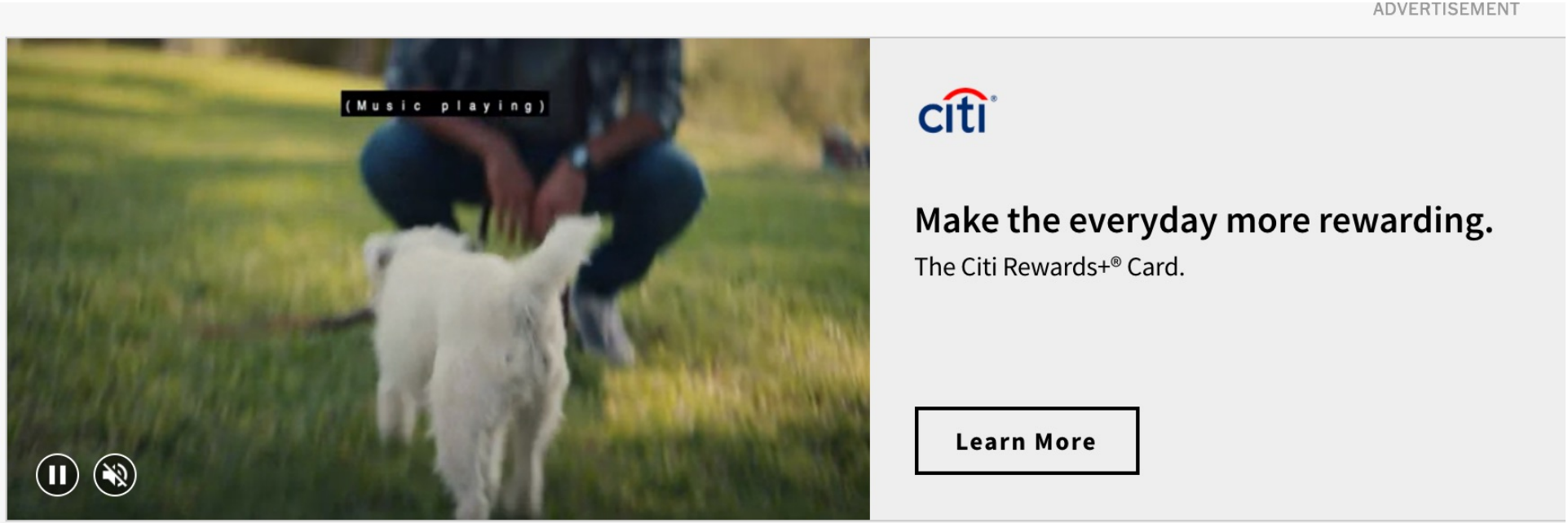
- web page consists of objects
- object can be: audio file



Courtesy: New York Times

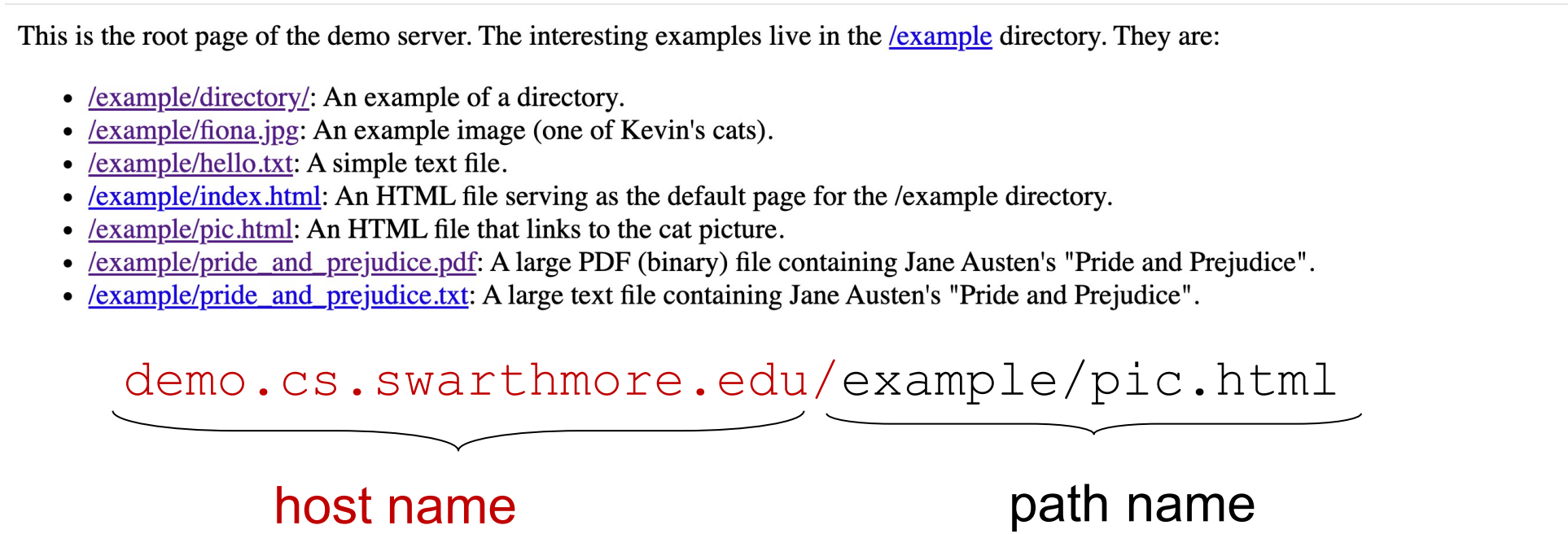
Web objects

- web page consists of objects
- object can be: video, java applets, etc.

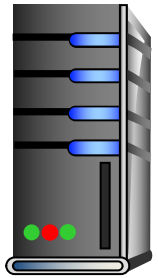


HTTP and the Web

- a web page consists of base HTML-file which includes several referenced objects
- each object is addressable by a URL, e.g.,



HTTP Overview

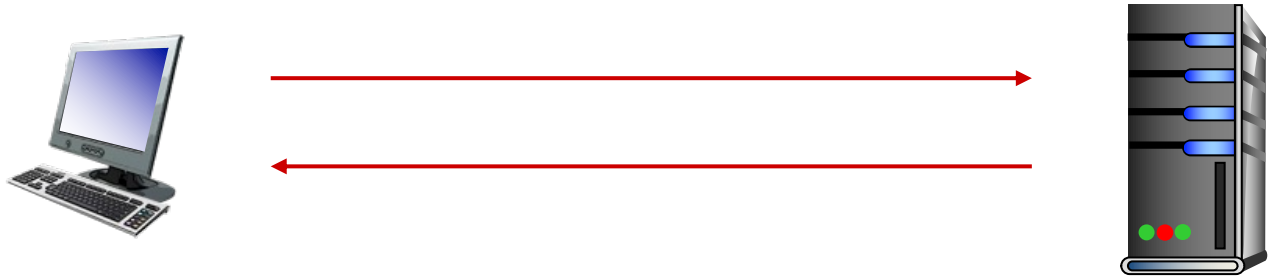


1. User types in a URL.

   http://some.host.name.tld/directory/name/file.ext

   host name — path name

HTTP Overview



2. Browser establishes connection with server using the Sockets API.

   Calls socket() // create a socket

   Looks up “some.host.name.tld” (DNS: getaddrinfo)

   Calls connect() // connect to remote server

   Ready to call send() // Can now send HTTP requests

HTTP Overview

3. Browser requests data the user asked for

GET /directory/name/file.ext HTTP/1.0

Host: some.host.name.tld

Required fields

[other optional fields, for example:]

User-agent: Mozilla/5.0 (Windows NT 6.1; WOW64)

Accept-language: en

HTTP Overview

4. Server responds with the requested data.

HTTP/1.0 200 OK

Content-Type: text/html

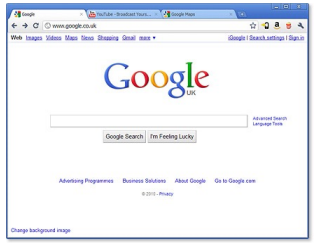
Content-Length: 1299

Date: Sun, 01 Sep 2013 21:26:38 GMT

[Blank line]

(Data data data data…)

HTTP Overview



5. Browser renders the response, fetches any additional objects, and closes the connection.

HTTP Overview

1. User types in a URL.
2. Browser establishes connection with server.
3. Browser requests the corresponding data.
4. Server responds with the requested data.
5. Browser renders the response, fetches other objects, and closes the connection.

It's a document retrieval system, where documents point to (link to) each other, forming a "web".

HTTP Overview (Lab 1)

1. User types in a URL.
2. Browser establishes connection with server.
3. Browser requests the corresponding data.
4. Server responds with the requested data.
5. ~~Browser renders the response, fetches other objects,~~ Save the file and close the connection.

It's a document retrieval system, where documents point to (link to) each other, forming a "web".

Trying out HTTP (client side) for yourself

1. Telnet to your favorite Web server:

   `telnet demo.cs.swarthmore.edu 80`

   Opens TCP connection to port 80 (default HTTP server port) at example server.

   Anything typed is sent to server on port 80 at demo.cs.swarthmore.edu

Trying out HTTP (client side) for yourself

2. Type in a GET HTTP request:

(Hit carriage
return twice) This
is a minimal, but complete,
GET request to the HTTP
server.

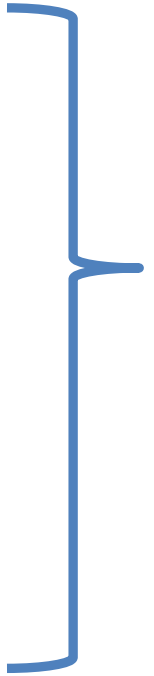
```
GET / HTTP/1.1
Host: demo.cs.swarthmore.edu
(blank line)
```

3. Look at response message sent by HTTP server!

Example

```
$ telnet demo.cs.swarthmore.edu 80
Trying 130.58.68.26...
Connected to demo.cs.swarthmore.edu.
Escape character is '^]'.
GET / HTTP/1.1
Host: demo.cs.swarthmore.edu

HTTP/1.1 200 OK
Vary: Accept-Encoding
Content-Type: text/html
Accept-Ranges: bytes
ETag: "316912886"
Last-Modified: Wed, 04 Jan 2017 17:47:31 GMT
Content-Length: 1062
Date: Wed, 05 Sep 2018 17:27:34 GMT
Server: lighttpd/1.4.35
```



Response headers

Example

```
$ telnet demo.cs.swarthmore.edu 80
Trying 130.58.68.26...
Connected to demo.cs.swarthmore.edu.
Escape character is '^]'.
GET / HTTP/1.1
Host: demo.cs.swarthmore.edu
```

Response
headers

```
<html><head><title>Demo Server</title></head>
<body>
.....
</body>
</html>
```

Response
body
(This is what you should be saving in lab 1.)

HTTP request message

- two types of HTTP messages: **request, response**
- **HTTP request message:** ASCII (human-readable format)

request line
(GET, POST,
HEAD, etc. commands)

carriage return character

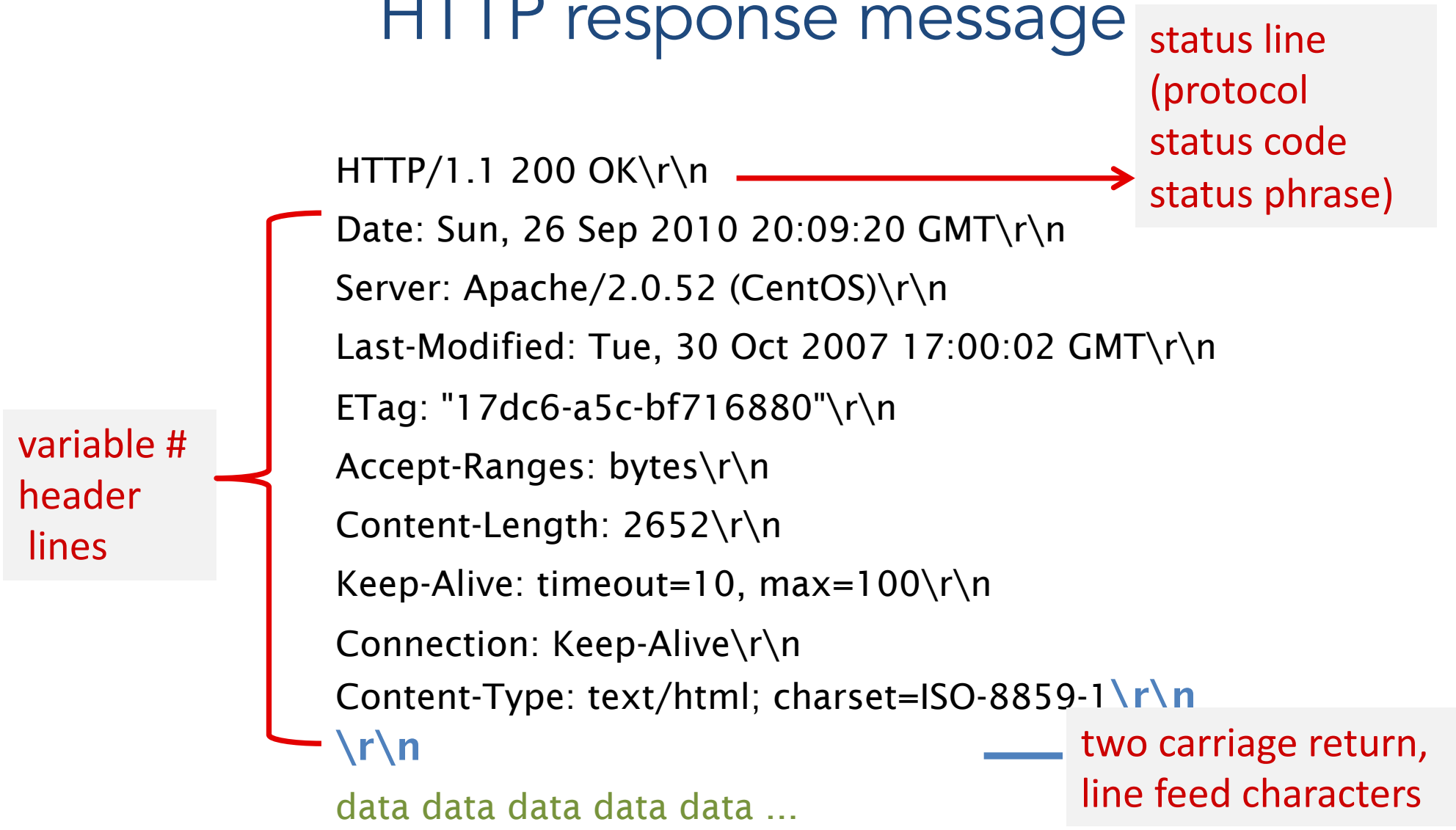
line-feed character

variable # header lines

two carriage return, line feed characters

```
GET /index.html HTTP/1.0\r\n
Host: web.cs.swarthmore.edu\r\n
User-Agent: Firefox/3.6.10\r\n
Accept: text/html,application/xhtml+xml\r\n
Accept-Language: en-us,en;q=0.5\r\n
Accept-Encoding: gzip,deflate\r\n
Accept-Charset: ISO-8859-1,utf-8;q=0.7\r\n
Keep-Alive: 115\r\n
Connection: keep-alive\r\n
\r\n
```

HTTP response message



data, e.g., requested HTML file: may not be text!

HTTP response status codes

Status code appears in first line of server-to-client response message.

200 OK

- Request succeeded, requested object later in this msg

301 Moved Permanently

- Requested object moved, new location specified later in this msg (Location:)

400 Bad Request

  - Request msg not understood by server

403 Forbidden

  - You don't have permission to read the object

404 Not Found

  - Requested document not found on this server

505 HTTP Version Not Supported

HTTP response status codes

Status code appears in first line of server-to-client response message.

Many others! Search “list of HTTP status codes”

420 Enhance Your Calm (twitter)

- Slow down, you’re being rate limited

451 Unavailable for Legal Reasons

- Censorship?

418 I’m a Teapot

- Response from a teapot requested to brew a beverage (announced Apr 1)

Client-Server communication

- Client:
  - initiates communication
  - must know the address and port of the server
  - active socket
- Server:
  - passively waits for and responds to clients
  - passive socket

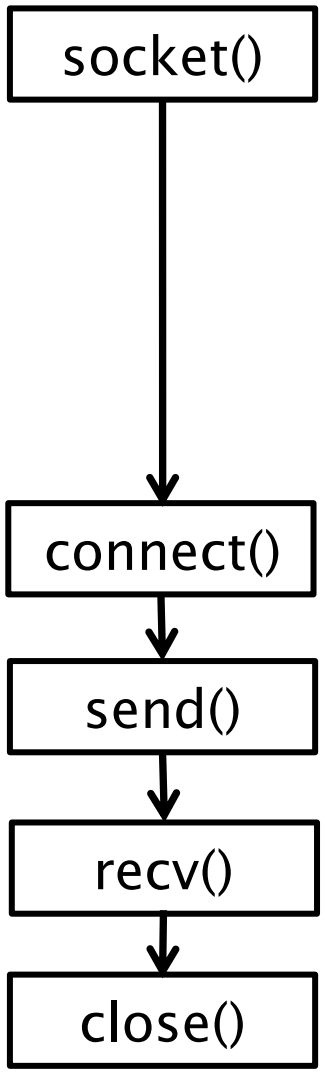
What is a socket?

An abstraction through which an application may send and receive data,

in the same way as a open-file handle allows an application to read and write data to storage.

TCP Socket Procedures: Client

Client



create a new communication endpoint

actively attempt to establish a connection

receive some data over a connection

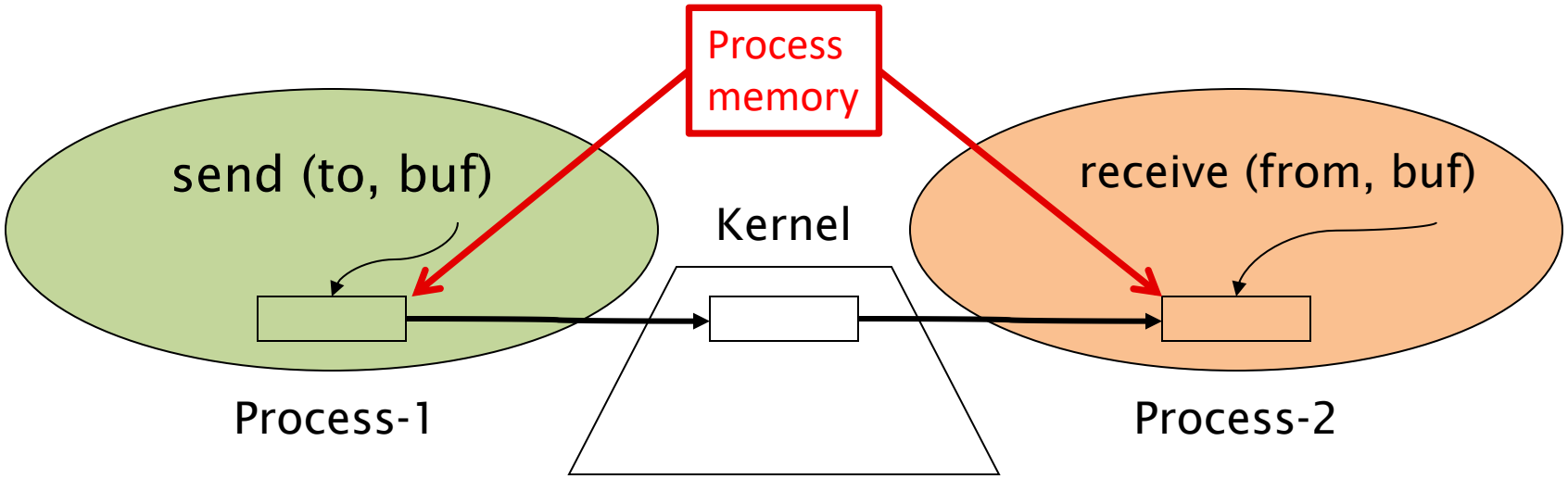
send some data over a connection

release the connection

Recall Inter-process Communication (IPC)

- Processes must communicate to cooperate
- Must have two mechanisms:
  - Data transfer
  - Synchronization
- On a single machine:
  - Threads (shared memory)
  - Message passing

Message Passing (local)

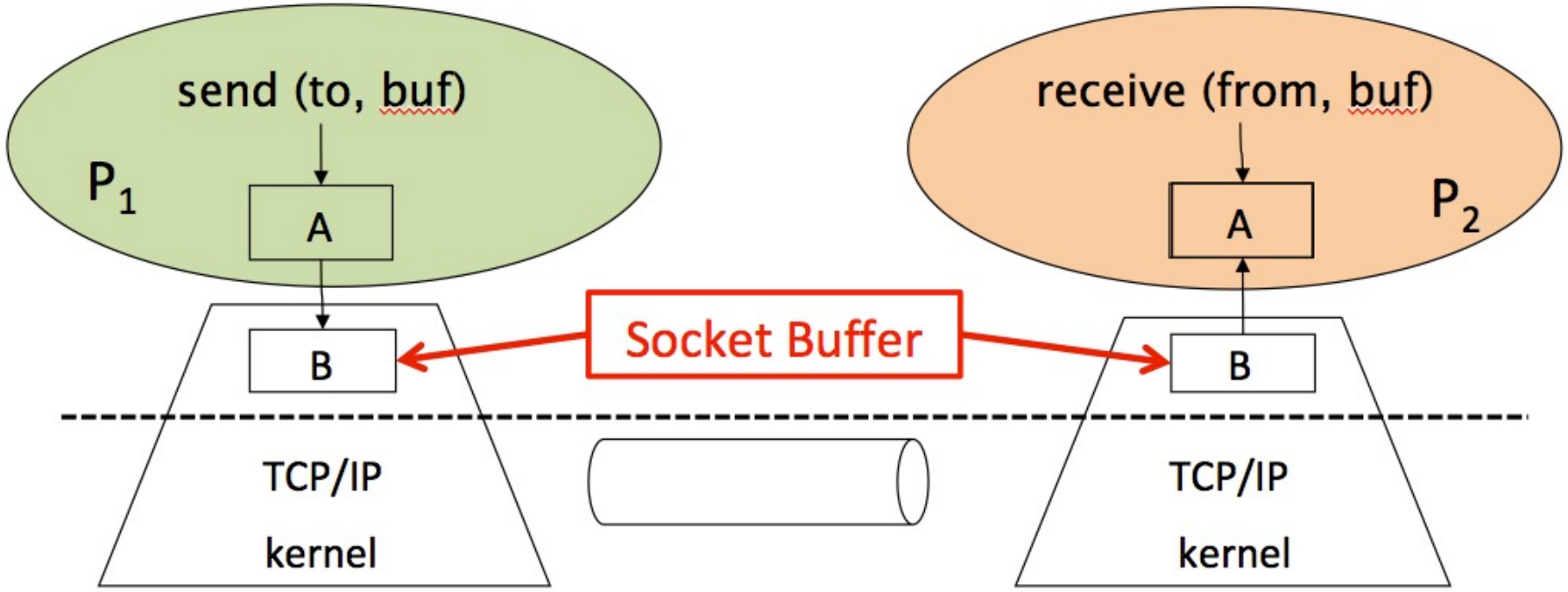


- Operating system mechanism for IPC
  - send (destination, message_buffer)
  - receive (source, message_buffer)
- Data transfer: in to and out of kernel message buffers
- Synchronization

Interprocess Communication (non-local)

- Processes must communicate to cooperate
- Must have two mechanisms:
  - Data transfer
  - Synchronization
- Across a network:
  - Threads (shared memory) NOT AN OPTION!
  - Message passing

Message Passing (network)



- Same synchronization
- Data transfer
  - Copy to/from OS socket buffer
  - Extra step across network: hidden from applications

Descriptor Table

For each Process

OS stores a table, per process, of descriptors

Kernel

Descriptors

```
SOCKET(2)                  BSD System Calls Manual                  SOCKET(2)

NAME
     socket -- create an endpoint for communication

SYNOPSIS
     #include <sys/socket.h>

     int
     socket(int domain, int type, int protocol);

DESCRIPTION
     socket() creates an endpoint for communication and returns a descriptor.
```

DESCRIPTION top

The **open**() system call opens the file specified by *pathname*. If the specified file does not exist, it may optionally (if **O_CREAT** is specified in *flags*) be created by **open**().

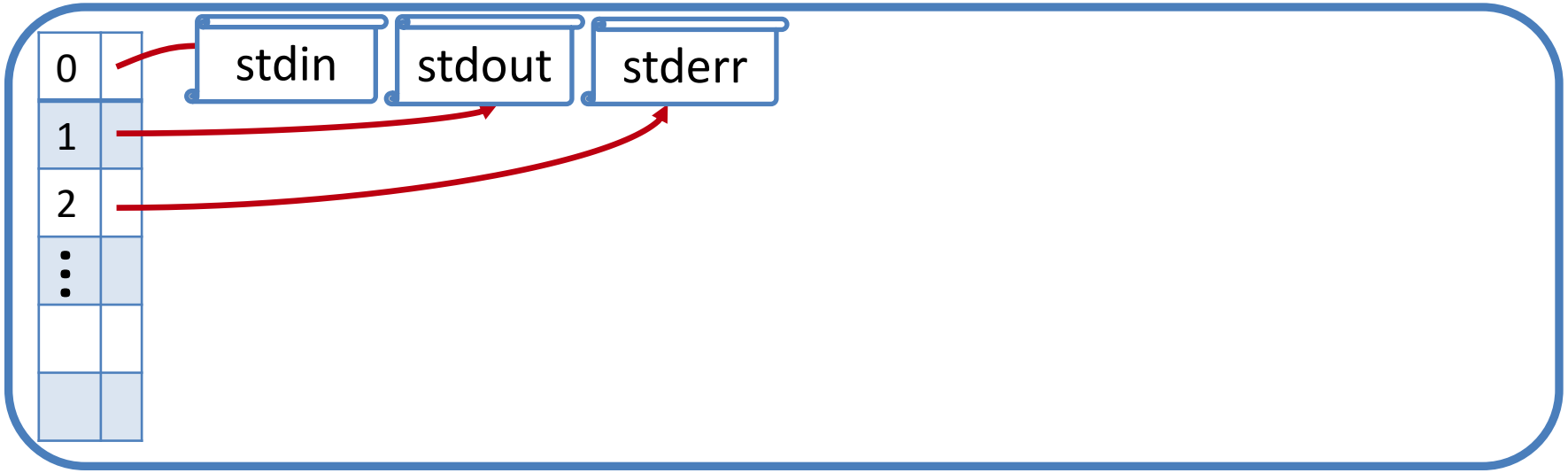
```
int open(const char *pathname, int flags);
int open(const char *pathname, int flags, mode_t mode);
```

Descriptor Table

For each Process

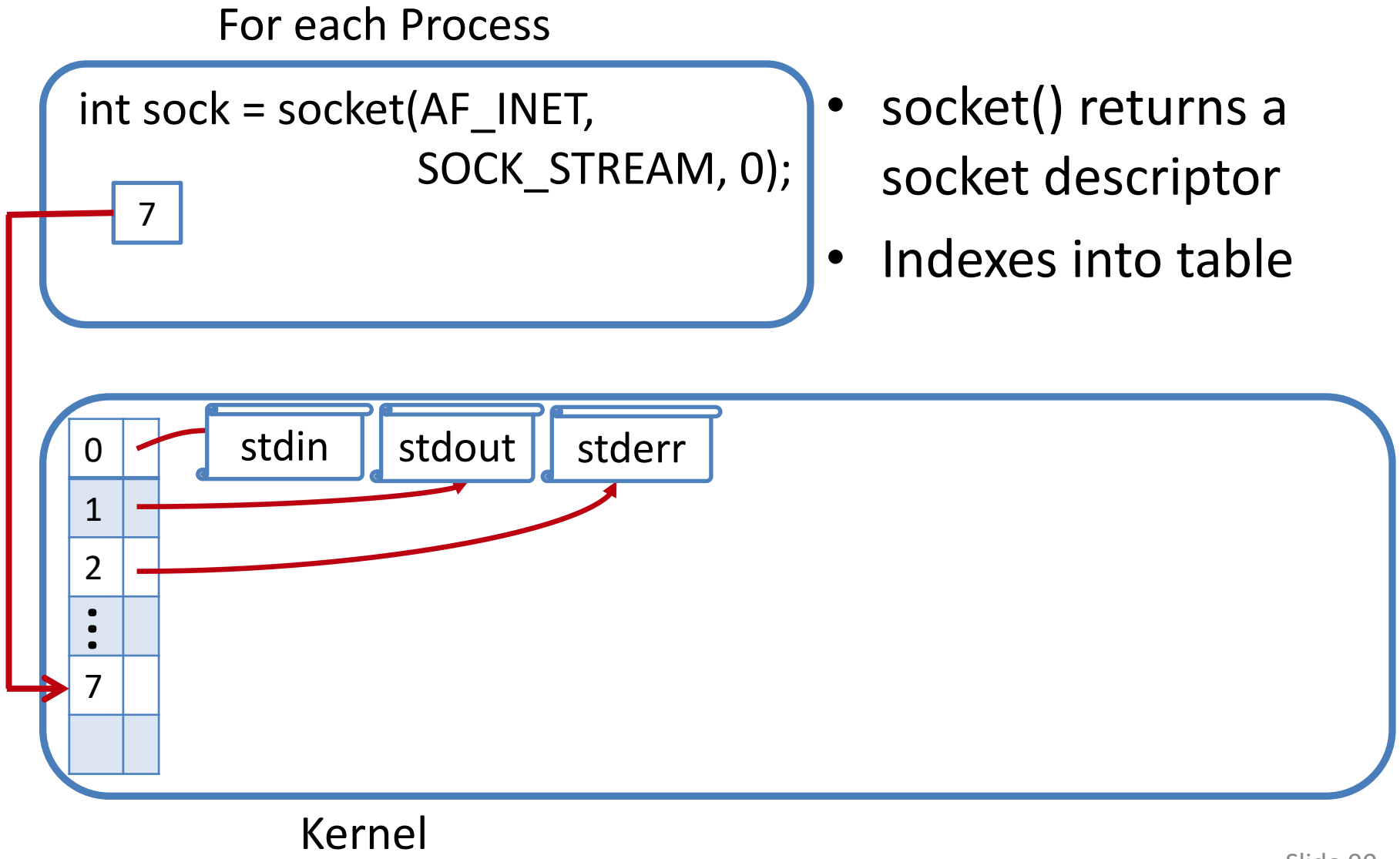
OS stores a table, per process, of descriptors

http://www.learnlinux.org.za/courses/build/shell-scripting/ch01s04.html



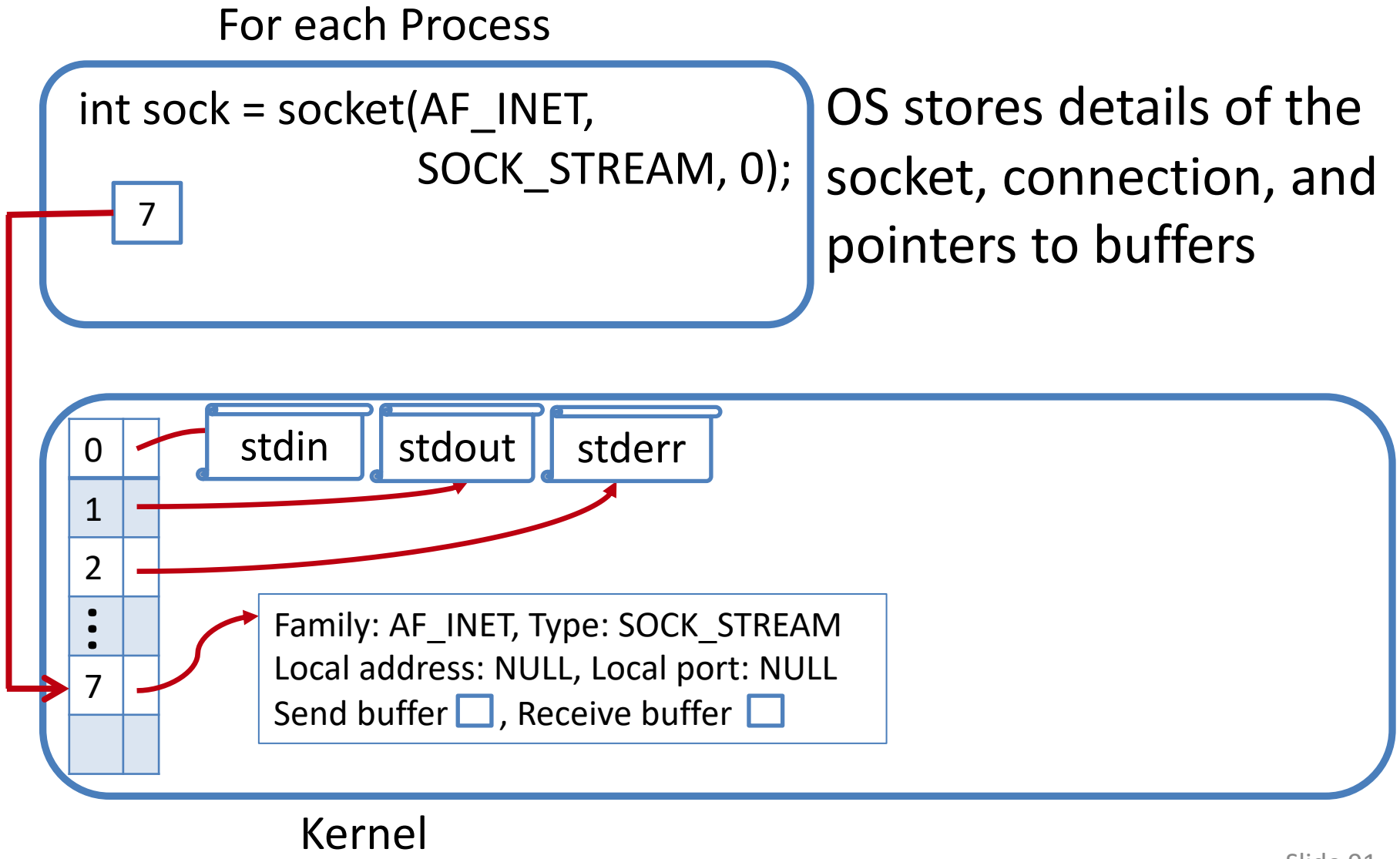
Kernel

socket()

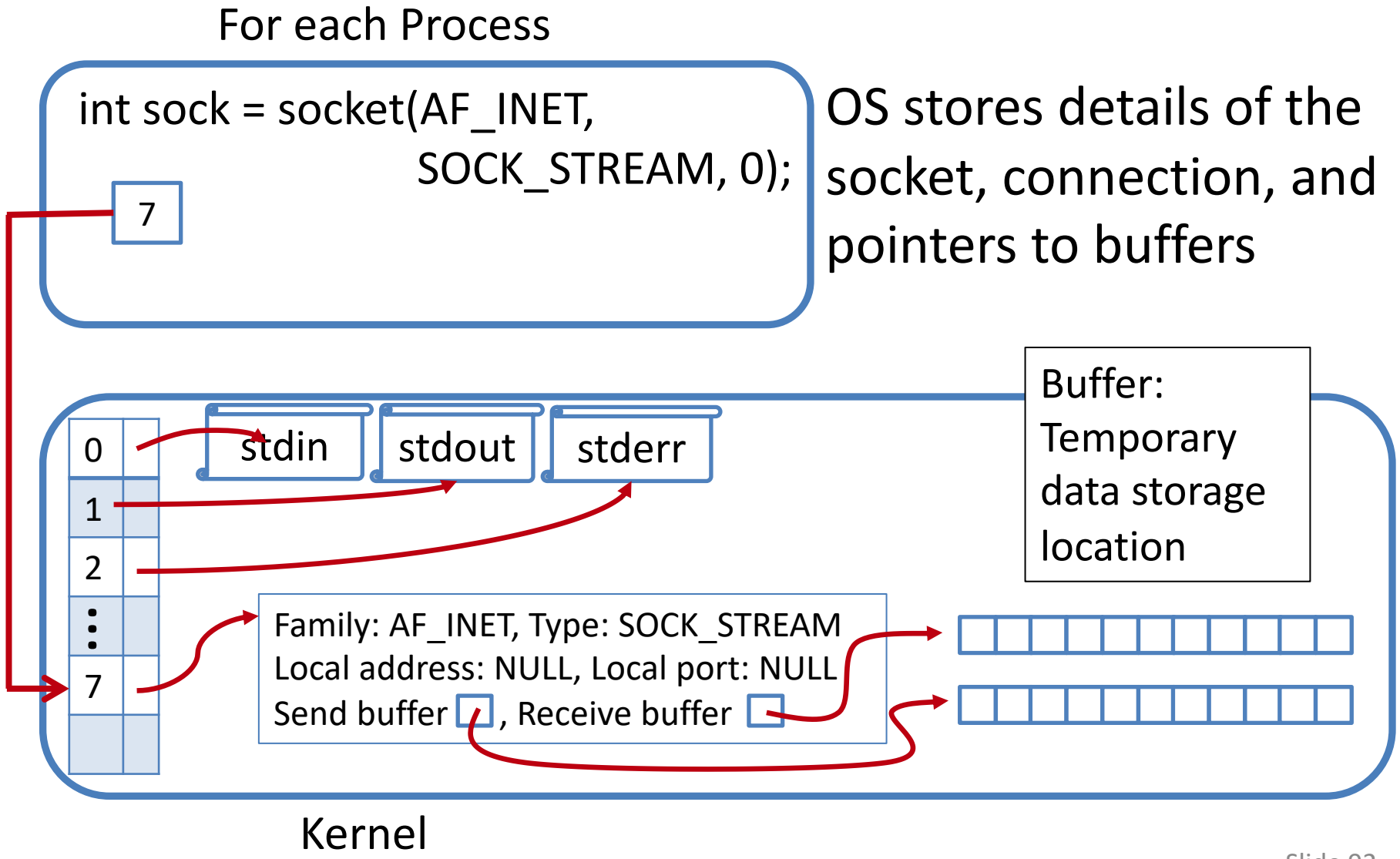


- socket() returns a socket descriptor
- Indexes into table

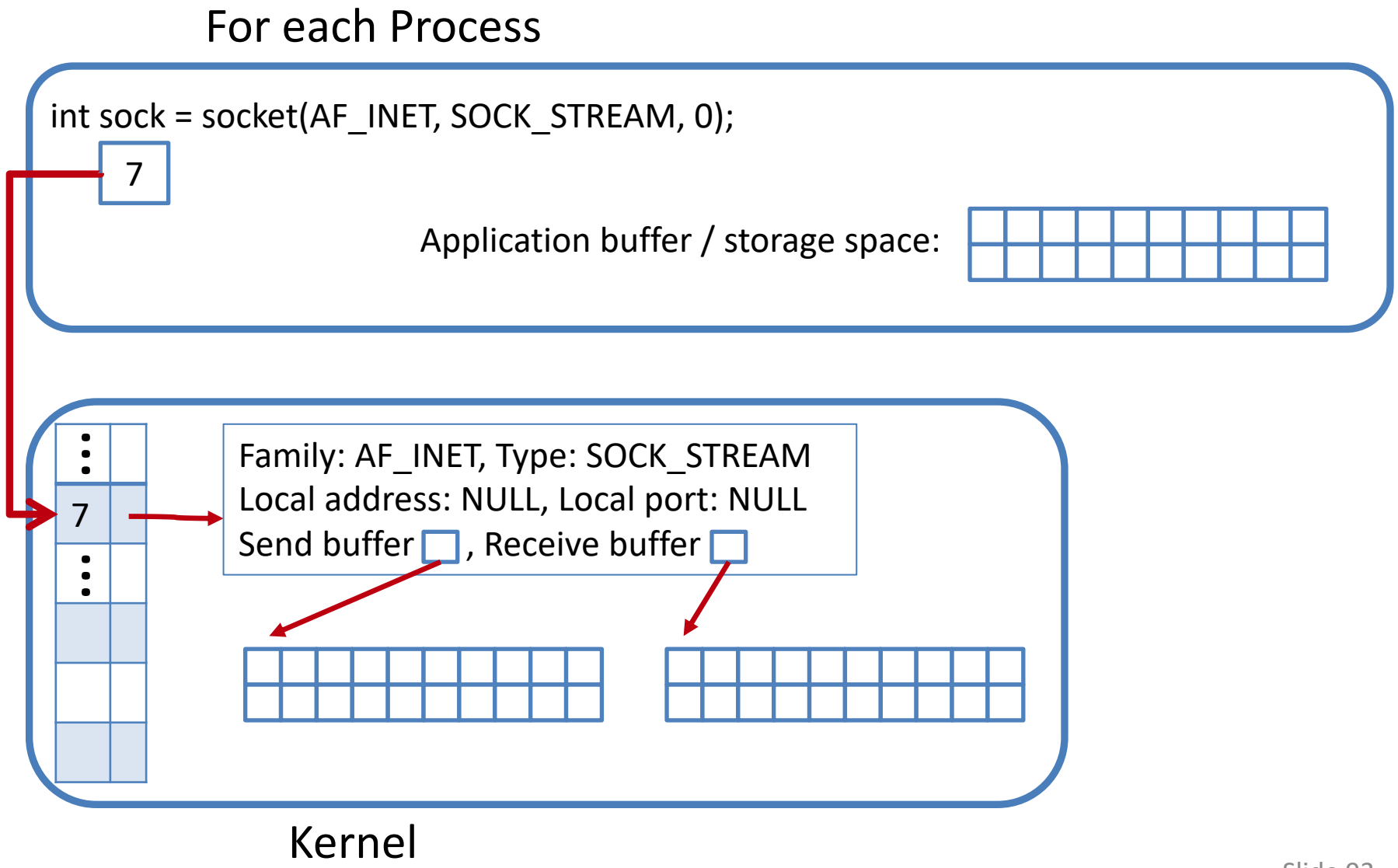
socket()


For each Process
int sock = socket(AF_INET,
SOCK_STREAM, 0);
7
OS stores details of the socket, connection, and pointers to buffers
0
1
2
7
stdin
stdout
stderr
Family: AF_INET, Type: SOCK_STREAM
Local address: NULL, Local port: NULL
Send buffer , Receive buffer
Kernel

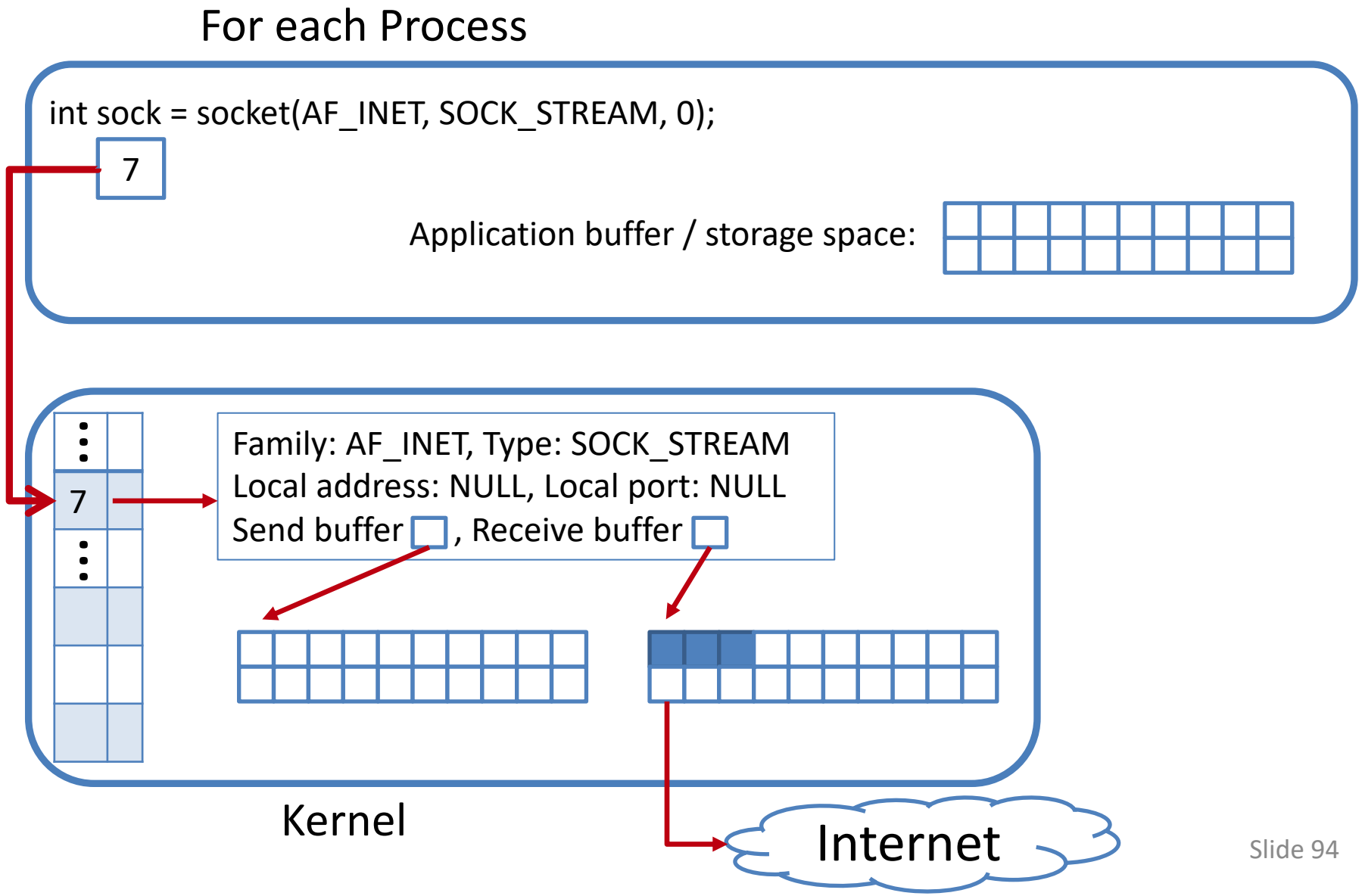
socket()


For each Process
int sock = socket(AF_INET,
SOCK_STREAM, 0);
7
OS stores details of the socket, connection, and pointers to buffers
Buffer: Temporary data storage location
0
1
2
7
stdin
stdout
stderr
Family: AF_INET, Type: SOCK_STREAM
Local address: NULL, Local port: NULL
Send buffer , Receive buffer
Kernel

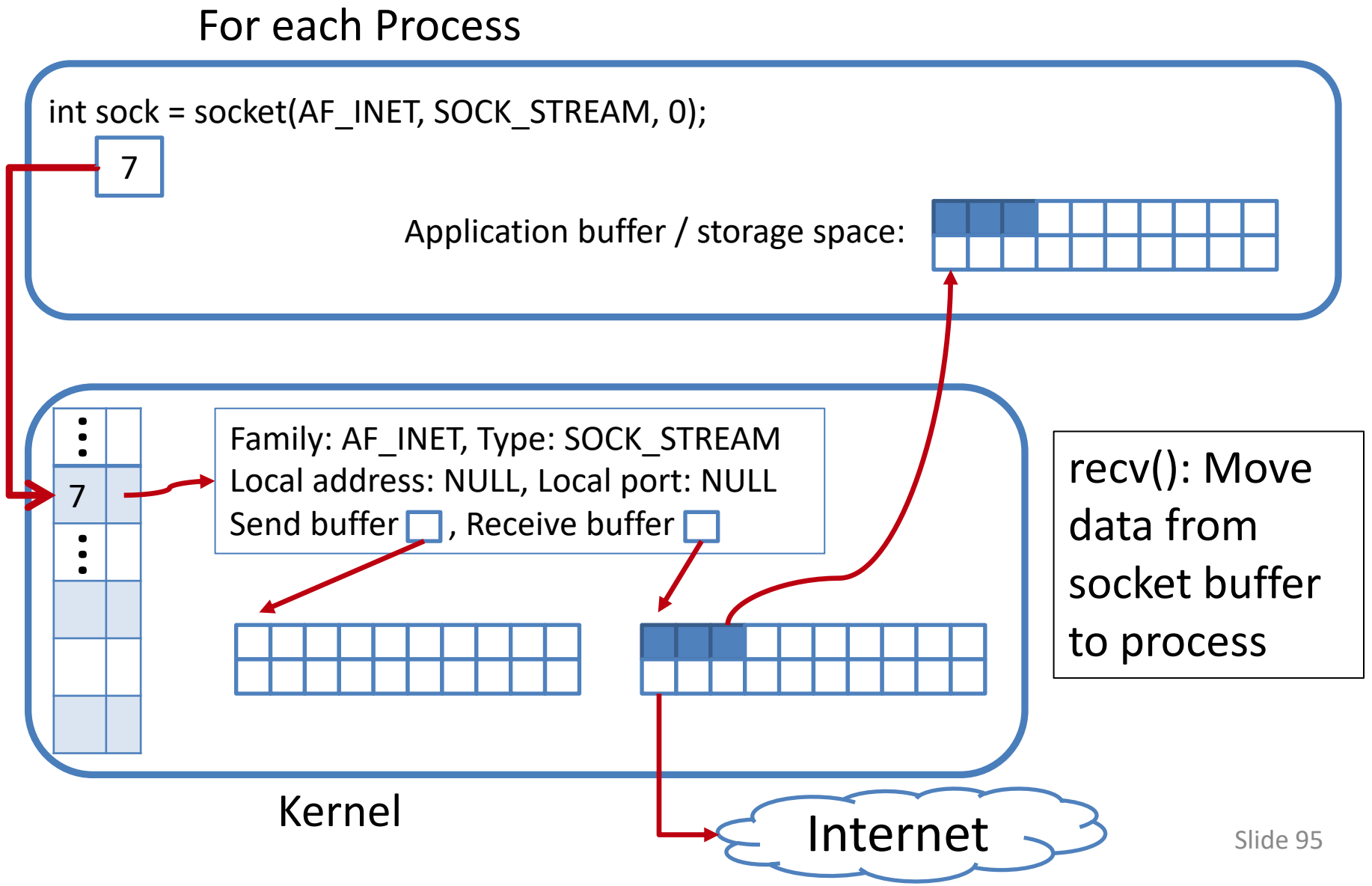
Socket Buffers



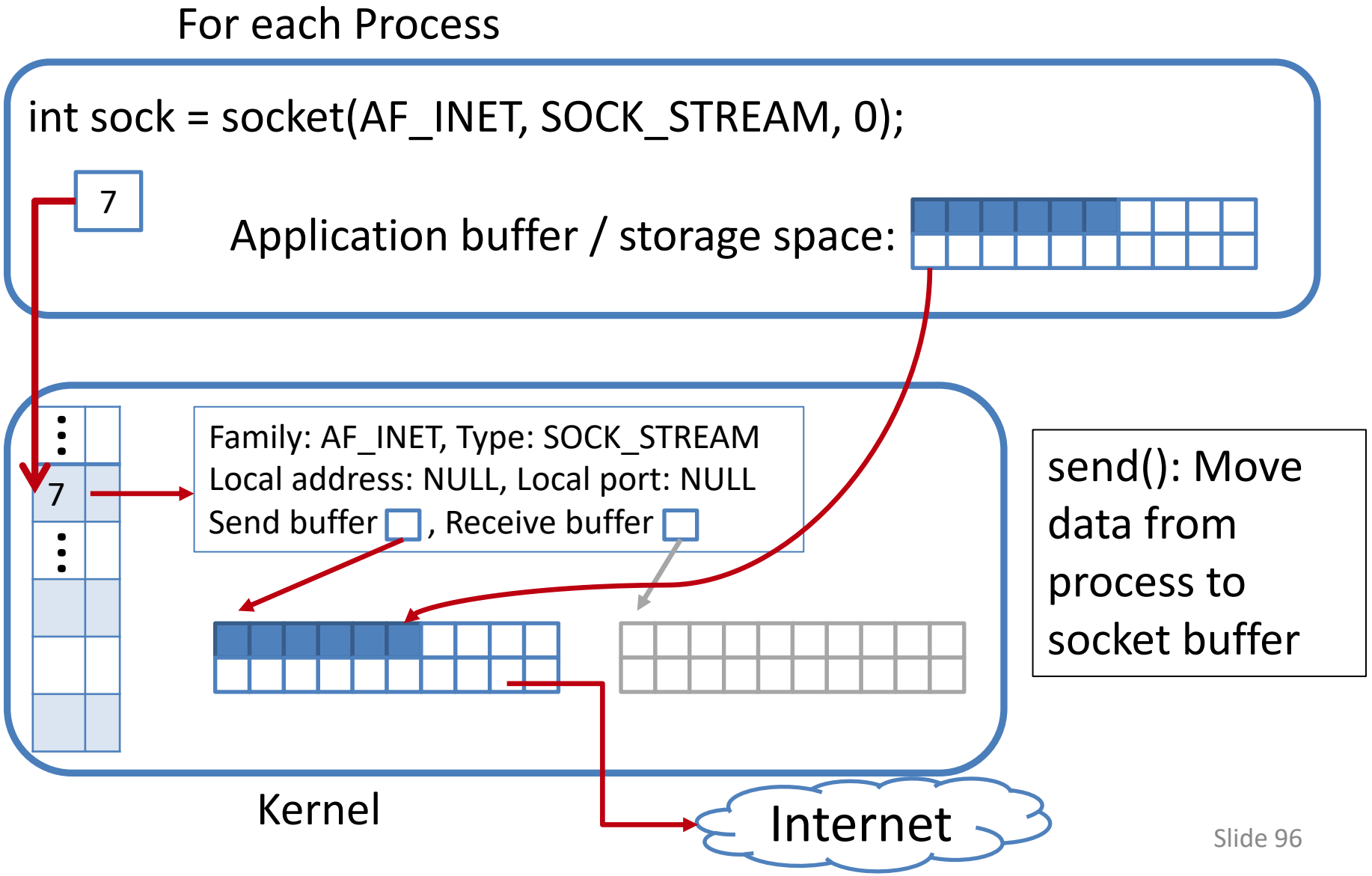
Socket Buffers



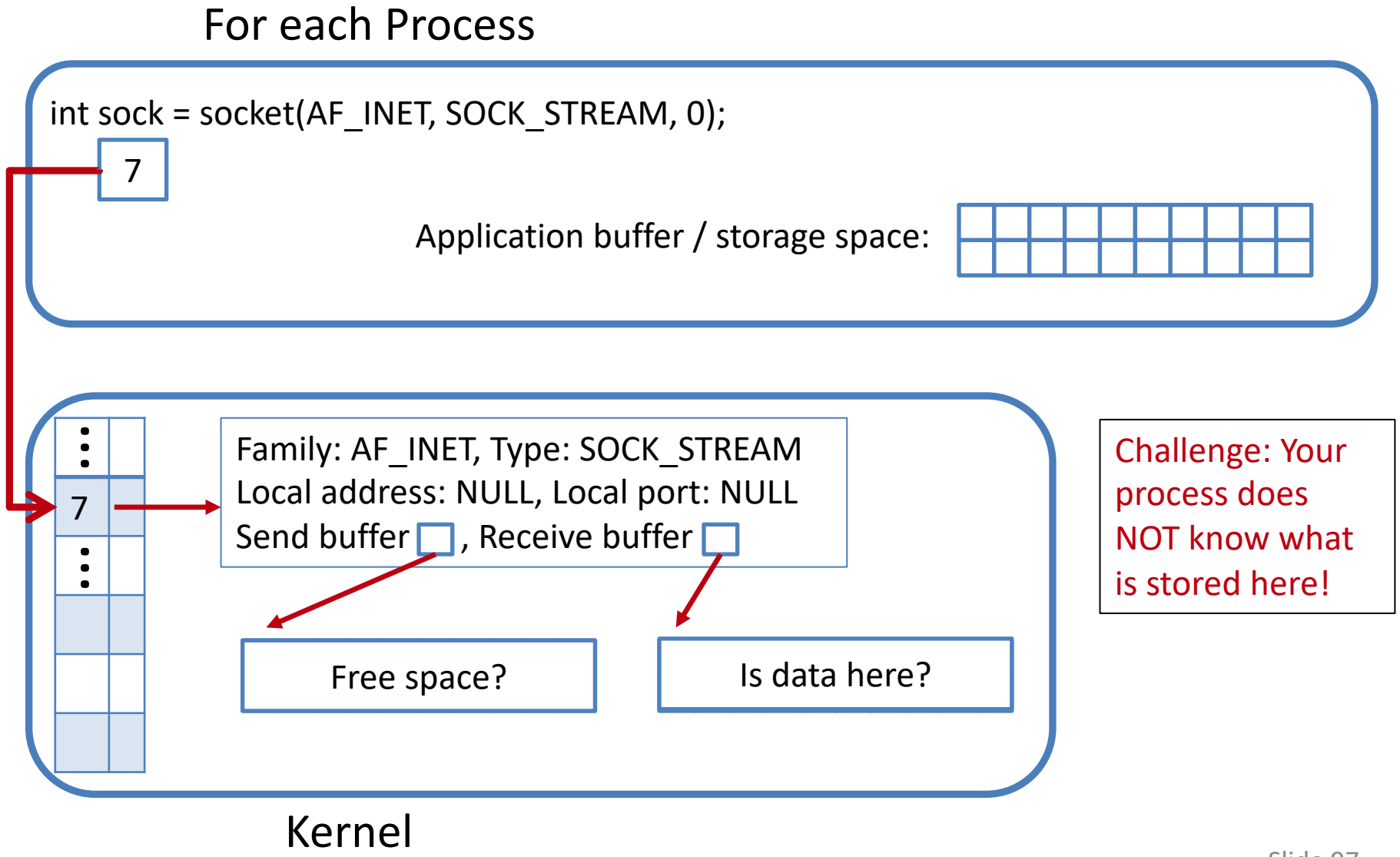
Socket Buffers



Socket Buffers



Socket Buffers



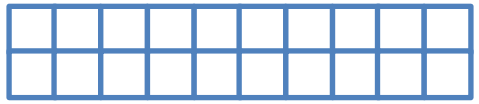
Challenge: Your process does NOT know what is stored here!

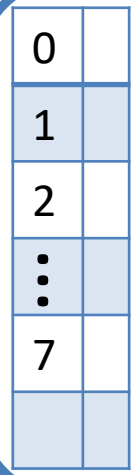
recv()

For each Process

```
int sock = socket(AF_INET, SOCK_STREAM, 0);
        (assume we issued a connect() here…)
int recv_val = recv(sock, r_buf, 200, 0);
```

r_buf (size 200)





Family: AF_INET, Type: SOCK_STREAM
Local address: …, Local port: …
Send buffer , Receive buffer

Is data here?

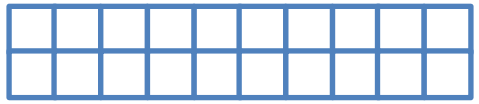
Kernel

What should we do if the receive socket buffer is empty? If it has 100 bytes?

For each Process

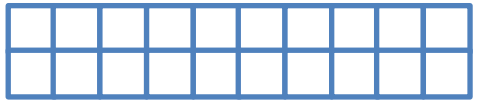
```
int sock = socket(AF_INET, SOCK_STREAM, 0);
        (assume we connect()ed here…)
int recv_val = recv(sock, r_buf, 200, 0);
```

r_buf (size 200)



Two Scenarios:

Socket buffer



Empty

100 bytes

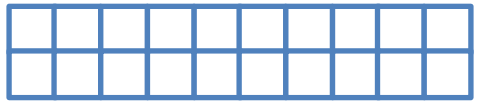
Kernel

What should we do if the receive socket buffer is empty? If it has 100 bytes?

For each Process

```
int sock = socket(AF_INET, SOCK_STREAM, 0);
        (assume we connect()ed here…)
int recv_val = recv(sock, r_buf, 200, 0);
```

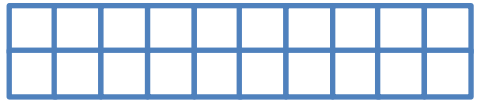
r_buf (size 200)



Two Scenarios:

	Empty	100 Bytes
A	Block	Block
B	Block	Copy 100 bytes
C	Copy 0 bytes	Block
D	Copy 0 bytes	Copy 100 bytes
E	Something else	

Socket buffer



Empty

100 bytes

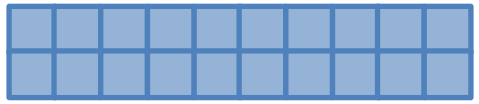
Kernel

What should we do if the send socket buffer is full? If it has 100 bytes?

For each Process

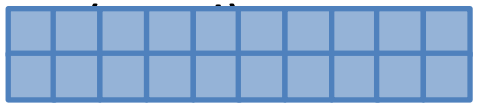
```
int sock = socket(AF_INET, SOCK_STREAM, 0);
        (assume we connect()ed here…)
int recv_val = recv(sock, r_buf, 200, 0);
```

s_buf (size 200)



Two Scenarios:

Socket buffer



Full

100 bytes

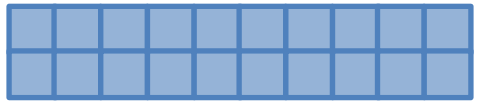
Kernel

What should we do if the send socket buffer is full? If it has 100 bytes?

For each Process

```
int sock = socket(AF_INET, SOCK_STREAM, 0);
        (assume we connect()ed here…)
int recv_val = recv(sock, r_buf, 200, 0);
```

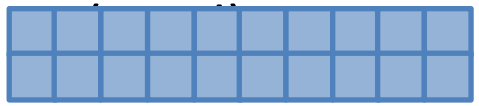
s_buf (size 200)



Two Scenarios:

	Full	100 Bytes
A	Return 0	Copy 100 bytes
B	Block	Copy 100 bytes
C	Return 0	Block
D	Block	Block
E	Something else	

Socket buffer



Full

100 bytes

Kernel

Blocking Implications

recv()

- Do not assume that you will recv() all of the bytes that you ask for.
- Do not assume that you are done receiving.
- Always receive in a loop!*

send()

- Do not assume that you will send() all of the data you ask the kernel to copy.
- Keep track of where you are in the data you want to send.
- Always send in a loop!*

* Unless you're dealing with a single byte, which is rare.

ALWAYS check send()/recv() return values!

When recv() returns a non-zero number of bytes always call recv() again until:

- the server closes the socket,
- or you've received all the bytes you expect.

ALWAYS check send()/recv() return values!

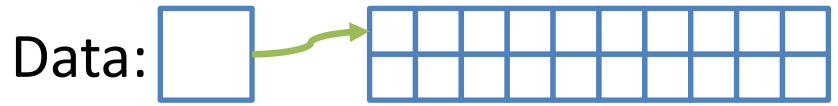
When recv() returns a non-zero number of bytes always call recv() again until:

- In the case of your web client: keep receiving until the server closes the socket.

ALWAYS check send()/recv() return values!

- E.g.: Let's assume we have a 200 byte data buffer and we want to receive data from a server.

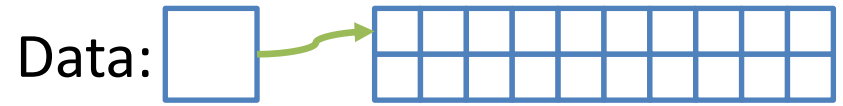
Data size to receive = unknown
recv(sock, data, 200, 0);



ALWAYS check send()/recv() return values!

- E.g.: Let's assume we have a 200 byte data buffer and we want to receive data from a server.

Data size to receive = unknown
recv(sock, data, 200, 0);



Data received = 50
Remaining buffer size = 150

ALWAYS check send()/recv() return values!

- E.g.: Let's assume we have a 200 byte data buffer and we want to receive data from a server.

Data size to receive = unknown
recv(sock, data, 200, 0);

Data:

Data received = 50
Remaining buffer size = 150

Data:

// Receive remaining bytes from offset of 50

ALWAYS check send()/recv() return values!

- E.g.: Let's assume we have a 200 byte data buffer and we want to receive data from a server.

Data size to receive = unknown
recv(sock, data, 200, 0);

Data:

Data received = 50
Remaining buffer size = 150

Data:

```
// Receive remaining bytes from offset of 50
recv(sock, data + 50, 200 – 50, 0)
```

Data received = ?

ALWAYS check send()/recv() return values!

- E.g.: Let's assume we have a 200 byte data buffer and we want to receive data from a server.

Data size to receive = unknown
recv(sock, data, 200, 0);

Data:

Data received = 50
Remaining buffer size = 150

Data:

```
// Receive remaining bytes from offset of 50
recv(sock, data + 50, 200 – 50, 0)
```

Data received = ?

Repeat until server closes the socket. (return value = 0)

Blocking Summary

send()

- Blocks when socket buffer for sending is full
- Returns less than requested size when buffer cannot hold full size

recv()

- Blocks when socket buffer for receiving is empty
- Returns less than requested size when buffer has less than full size

Always check the return value!